HIV/AIDS Sustainability Index Dashboard, 2019

Eswatini

The HIV/AIDS Sustainability Index and Dashboard (SID) is a tool completed every two years by PEPFAR teams and partner stakeholders to sharpen the understanding of each country's sustainability landscape and to assist PEPFAR and others in making informed HIV/AIDS investment decisions. Based on responses to 110 questions, the SID assesses the current state of sustainability of national HIV/AIDS responses across 17 critical elements. Scores for these elements are displayed on a color-coded dashboard, together with contextual charts and information. As the SID is completed over time, it will allow stakeholders to track progress and gaps across these key components of sustainability.

Dark Green Score (8.50-10 points) (sustainable and requires no additional investment at this time)
Light Green Score (7.00-8.49 points) (approaching sustainability and requires little or no investment)
Yellow Score (3.50-6.99 points) (emerging sustainability and needs some investment)
Red Score (<3.50 points) (unsustainable and requires significant investment)

Country Overview:

Eswatini is classified as a lower-middle income country, however income inequality is high, with an estimated Gini coefficient of 0.49 between 2010 and 2017. Eswatini has experienced several years of slow economic growth coupled with a regional economic downturn and a persistent domestic fiscal crisis. Real GDP growth projections for the years 2019, 2020 and 2021 remain flat at 1.09%, 1.81% and 1.45% respectively (Central Bank of Eswatini 2019. The Swaziland HIV Incidence Measurement Survey (SHIMS 2) in 2016-17 estimated HIV prevalence among adults aged 15 and older was 27% in 2017, the highest of any nation. It is estimated that 210,725 of the total population will be living with HIV by 2020. HIV disproportionately affects females, and infection rates are higher for them than their male counterparts until age 45. Despite facing a dual Tuberculosis and HIV epidemic, Eswatini stands on the brink of reaching epidemic control.   Strong political will, investment and HIV response coordination over the past sixteen years has seen Eswatini making great strides in controlling the epidemic. Nonetheless, the youth bulge and the need to ensure that children and adolescents living with HIV are virally suppressed necessitate innovative approaches to finding the remaining population not aware of their HIV status and not on treatment.

SID Process:

The SID was completed through a collaborative and multi-stakeholder consultative process that was coordinated by PEPFAR, UNAIDS and National Emergency Response Council on HIV and AIDS (NERCHA) under the leadership of the Prime Minister's Office. A SID core team comprising of Ministry of Health (MoH), Ministry of Economic Planning and Development (MoEPD), Ministry of Housing and Urban

Development (MoHUD), Ministry of Finance (MoF), UNAIDS, World Health Organization (WHO), PEPFAR, NERCHA and the Global Fund (GF)-supported Country Coordinating Mechanism (CCM) Secretariat and Principal Recipients (PRs) was formed to plan for the Responsibility Matrix and SID stakeholder meetings.

The SID one-day meeting was convened on August 28, 2019. Participants were from the MoH, MoEPD, MoHUD, Ministry of Finance, NERCHA, USG, CCM secretariat, GF PRs, civil society, people living with HIV and private sector representatives, bilateral and multilateral stakeholders, and other development partners. The meeting was chaired by the Prime Minister's Office and officiated by the Secretary to Cabinet and US Ambassador. Following the official opening and review of sustainability index measurement process, participants broke into four domain subgroups, discussed, and completed the SID tool. The MoH, MoEPD, MoF and PMO chaired each subgroup and NERCHA, WHO co-chaired two of the sub-groups. PEPFAR team members divided amongst the four groups. Groups agreed on responses, recorded data sources, and documented points of clarification and context. The full group reconvened at the end of the day, reviewed the completed tool, discussed the findings and identified priorities. The completed SID was circulated for stakeholder input and a summary is presented below.

Sustainability Strengths:
1. **Planning and Coordination (9.33, dark green):** The Prime Minister's Office, through NERCHA, provides strong leadership of the HIV response in Eswatini. Since 2017, Eswatini has developed a new National HIV/AIDS Strategic Framework (2018 – 2023) and National Health Sector Strategic Plan (2018 – 2023). A costed National HIV/AIDS Operating Plan is under development. However, participants agreed that coordination of the multi-sectoral response could also be strengthened to minimize duplication and leverage synergies among partners.

2. **Technical and Allocative Efficiencies (8.56, dark green):** The GKoE, with donor-support, has strong systems to analyze and utilize relevant HIV/AIDS epidemiological, health, health workforce, and economic data to inform HIV/AIDS investment decisions. The focus needs to be on improving the sustainability of these systems.

3. **Market Openness (9.69, dark green):** Eswatini's government and donor policies enable fair competition and productive and non-biased participation by HIV service providers in the provision of HIV goods and services.

Sustainability Vulnerabilities:
1. **Service delivery (4.90, yellow)**: Health-facility level service delivery continues to be strong, the major gaps remain in the provision of consistent and high-quality community services. Although there is some community outreach, the need to intensify community outreach programs was highlighted. Linkages to services still need to be strengthened and the formal recognition of community lay cadres was highlighted as one of the areas for improvement.

2. **Laboratory (4.38, yellow)**: inadequate qualified laboratory personnel to achieve epidemic control remains a gap. The national laboratory strategic plan is still under development and although there is a national health laboratory services, staffing and resource limitations present considerable sustainability vulnerabilities. The quality of community-based point-of-care testing needs to be improved.

3. **Human Resources for Health (5.32, <mark>yellow</mark>):** Some cadres that are critical for the attainment and sustainability of epidemic control are still almost entirely donor-funded (such as phlebotomists). The fiscal constraints have also placed additional pressures on GKoE matching staffing patterns with skills and staffing needs. There is a continued need to rationalize HR numbers and skill sets with client volume at facilities.

4. **Commodity Security and Supply Chain (5.28, <mark>yellow</mark>):** The GKoE is the primary funder of adult antiretrovirals (ARVs), an area that has continued to be prioritized despite the fiscal constraints. PEPFAR is responsible for the procurement of all pediatric ARVs and condoms. GF is the primary supporter of other lab commodities and all three entities fund viral load reagents. Commodity management at primary facility level (clinics) remains weak and with the intensification of differentiated service delivery models, additional support is needed from PEPFAR this year. PEPFAR will continue to assist GKoS in forecasting and supply planning as well as strengthen capacity in contracting and financing.

Additional Comments: the Domestic Resource Mobilization score decreased from the 2017 score (7.58, **light green**) to 6.51 (**yellow**) in 2019, and one of the contributing factors was the lack of a systematic functional mechanism to routinely collect all donor funding. Some donor funding information is submitted to the MOEP AIDS Coordination and Management Section but the data completeness is porous. Domestic financing will also continue to be impacted by the fiscal constraints and the USG (through the US Treasury Department) will support Eswatini to increase domestic resources.

The Prime Minister's Office, through the Secretary to Cabinet established a Sustainability, Co-Financing and Transition (SCT) Steering and Technical Committee that seeks to coordinate the country's considerations for a sustainable HIV, TB and Malaria response. In addition to the Principal Secretaries from multiple Ministries, PEPFAR, UNAIDS, European Union (EU) and WHO serve in the steering committee, and representatives from these organizations also are part of the technical committee.

Contact: For questions or further information about PEPFAR's efforts to support sustainability of the HIV response in Swaziland, please contact Cheryl Amoroso at AmorosoCL@state.gov.

# Sustainability Analysis for Epidemic Control: Eswatini

Epidemic Type: Generalized
Income Level: Lower middle income
PEPFAR Categorization: Long-term Strategy
**PEPFAR COP 19 Planning Level:** $ 79,629,228

SUSTAINABILITY DOMAINS and ELEMENTS

	2015 (SID 2.0)	2017 (SID 3.0)	2019	2021
Governance, Leadership, and Accountability				
1. Planning and Coordination	9.50	8.02	9.33	
2. Policies and Governance	6.40	7.13	7.30	
3. Civil Society Engagement	4.17	5.83	5.83	
4. Private Sector Engagement	3.96	5.24	5.93	
5. Public Access to Information	7.00	7.00	7.89	
National Health System and Service Delivery				
6. Service Delivery	6.53	4.95	4.90	
7. Human Resources for Health	6.33	5.37	5.56	
8. Commodity Security and Supply Chain	6.01	6.90	5.83	
9. Quality Management	7.76	6.81	7.10	
10. Laboratory	5.74	4.83	4.38	
Strategic Financing and Market Openness				
11. Domestic Resource Mobilization	8.61	7.58	7.17	
12. Technical and Allocative Efficiencies	8.57	8.16	8.56	
13. Market Openness	N/A	N/A	9.69	
Strategic Information				
14. Epidemiological and Health Data	5.00	3.96	5.45	
15. Financial/Expenditure Data	5.42	5.83	6.67	
16. Performance Data	7.80	7.39	7.67	
17. Data for Decision-Making Ecosystem	N/A	N/A	8.00	

CONTEXTUAL DATA

Adult Prevalence & PLHIV

Legend: Adult Prevalence, PLHIV

New Infections & Total AIDS Deaths

Legend: New HIV Infections, Total AIDS Deaths

Clinical Cascade

Legend: Virally Suppressed; On ART, but not VS; Aware, not on ART; HIV+ but not aware
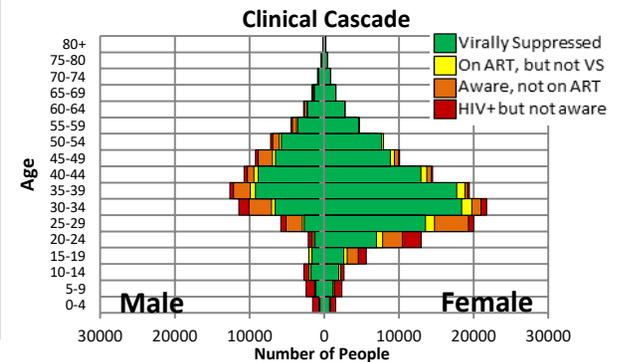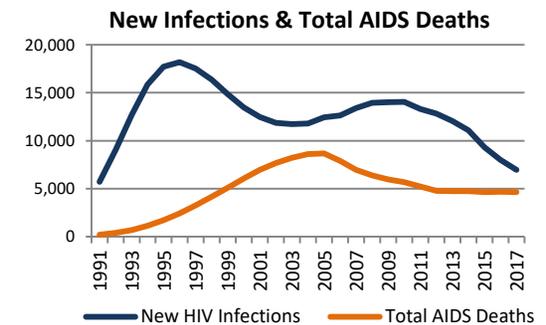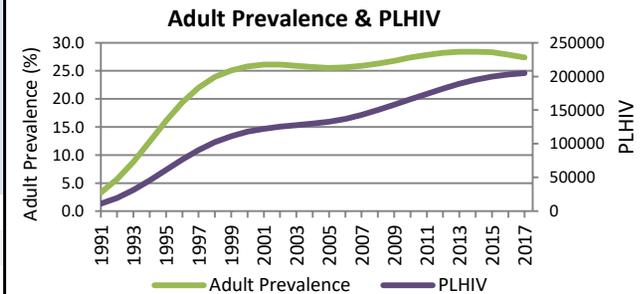
Financing the HIV Response

Legend: Partner Gov't, PEPFAR, Global Fund, Other Donors, Private Sector, Out of Pocket
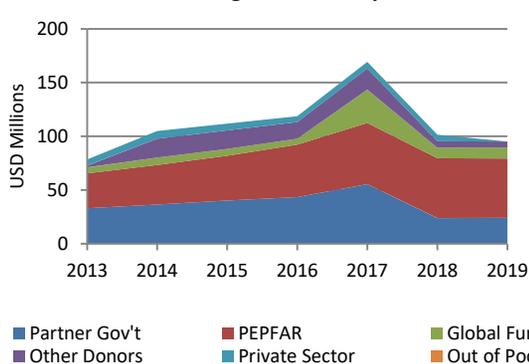
GNI Per Capita (Atlas Method)
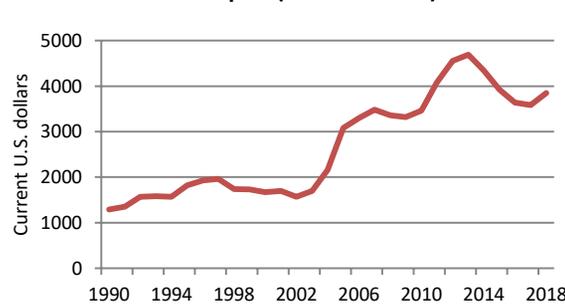
Population Pyramid (2019)

Legend: Female %, Male %
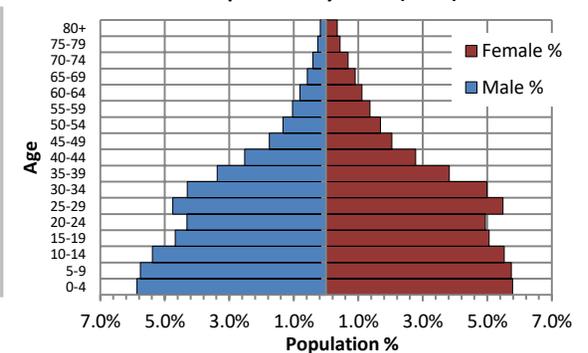
Sustainability Analysis for Epidemic Control: Eswatini

Contextual Governance Indicators

Rule of Law Index (World Justice Project)	Worldwide Governance Indicators (World Bank)

Rule of Law Index (World Justice Project)

Factor 1: Constraints on Government Powers

Factor 8: Criminal Justice

Factor 2: Absence of Corruption

Factor 7: Civil Justice

Factor 3: Open Government

Factor 6: Regulatory Enforcement

Factor 4: Fundamental Rights

Factor 5: Order and Security

0.00

◯ PEPFAR Avg.   ■ Eswatini
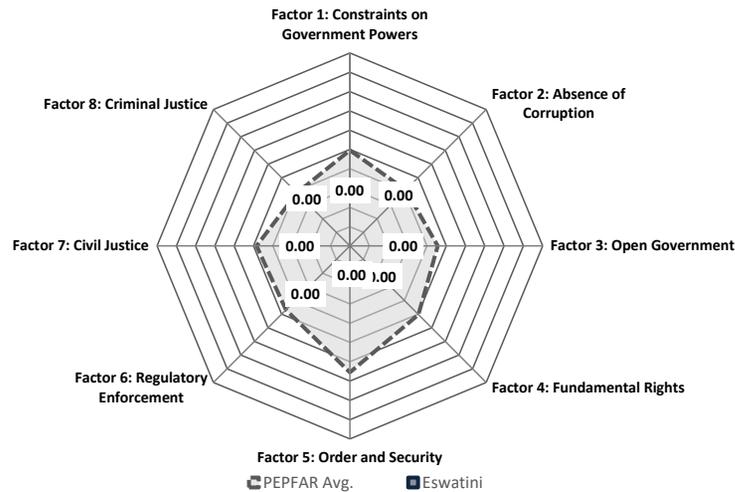
Overall WJP Rule of Law Index Score

PEPFAR Avg.	0.48
Eswatini	00

Worldwide Governance Indicators (World Bank)

Control of Corruption

Government Effectiveness

Rule of Law

Political Stability and Absence of Violence

Regulatory Quality

Voice and Accountability

(Charts: Eswatini solid line, PEPFAR Avg. dashed line; Index Score axis from -2.00 to 2.00; years 2002–2016)
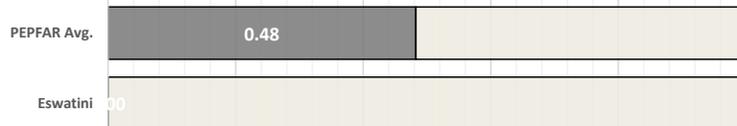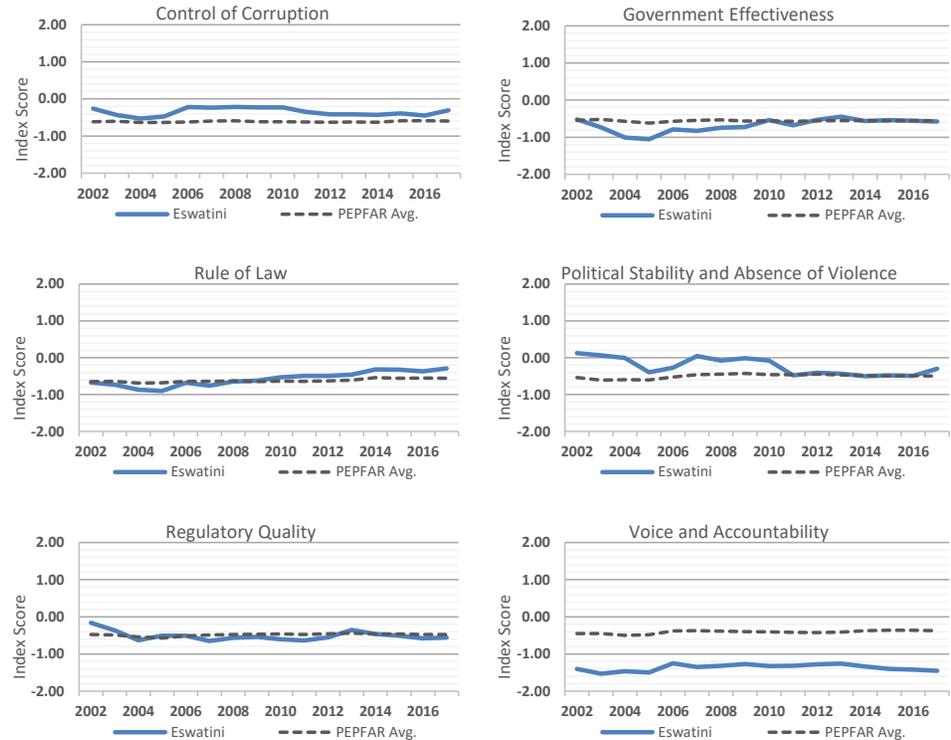
WJP's Rule of Law Index measures the general public's experience and perception across eight 'factors':

1. Constraints on Government Powers: Governmental powers are limited by both internal and external checks, including auditing and review. Governmental officials are subject to the law and sanctioned for misconduct.

2. Absence of Corruption: Government officials in all branches of government do not use public office for private gain.

3. Open Government: Citizens have open access to government information and data, complaint mechanisms, and civic participation.

4. Fundamental Rights: There is equal treatment of citizens and absence of discrimination. The rights to freedom of expression, security of the person, and due process are effectively guaranteed.

5. Order and Security: Crime and civil conflict are effectively limited. Personal grievances are not redressed through violence.

6. Regulatory Enforcement: Government regulations are effectively applied and enforced without improper influence. Due process is respected in administrative proceedings.

7. Civil Justice: Civil justice is accessible and free of discrimination, corruption and improper government influence.

8. Criminal Justice: Criminal justice is impartial, timely and effective, and free from corruption or improper government influence. There is due process of law and rights of the accused.

More information can be found at: https://worldjusticeproject.org/our-work/research-and-data/wjp-rule-law-index-2019

The World Bank Worldwide Governance Indicators (WGI) score countries based on six dimensions of governance:

1. Control of Corruption: captures perceptions of the extent to which public power is exercised for private gain, including both petty and grand forms of corruption, as well as 'capture' of the state by elites and private interests.

2. Government Effectiveness: measures the quality of public services, the quality of the civil service and its independence from political pressure, the quality of policy formulation and implementation (including the efficiency of revenue mobilization and budget management), and the credibility of the government's commitment to its stated policies.

3. Rule of Law: captures perceptions of the extent to which agents have confidence in and abide by the rules of society, and in particular the quality of contract enforcement, property rights, the police, and the courts, as well as the likelihood of crime and violence.

4. Political Stability and Absence of Violence: measures perceptions of the likelihood of political instability and/or politically-motivated violence, including terrorism.

5. Regulatory Quality: Measures perceptions of the ability of the government to formulate and implement sound policies and regulations that permit and promote private sector development.

6. Voice and Accountability: captures perceptions of the extent to which a country's citizens are able to participate in selecting their government, as well as freedom of expression, freedom of association, and a free media.

More information can be found at: https://info.worldbank.org/governance/wgi/

Domain A. Governance, Leadership, and Accountability

What Success Looks Like: Host government upholds a transparent and accountable resolve to be responsible to its citizens and international stakeholders for achieving planned HIV/AIDS results, is a good steward of HIV/AIDS finances, widely disseminates program progress and results, provides accurate information and education on HIV/AIDS, and supports mechanisms for eliciting feedback. Relevant government entities take actions to create an enabling policy and legal environment, ensure good stewardship of HIV/AIDS resources, create space for and promote participation of the private sector, and provide technical and political leadership to coordinate an effective national HIV/AIDS response.

1. Planning and Coordination: Host country develops, implements, and oversees a costed multiyear national strategy and serves as the preeminent architect and convener of a coordinated HIV/AIDS response in the country across all levels of government and key stakeholders, civil society and the private sector.		Data Source	Notes/Comments
1.1 Content of National Strategy: Does the country have a multi-year, costed national strategy to respond to HIV?	◯ A. There is no national strategy for HIV/AIDS ◉ B. There is a multiyear national strategy. Check all that apply: ☑ It is costed ☑ It has measurable targets. ☑ It is updated at least every five years ☑ Strategy includes all crucial response components for prevention and treatment (HIV testing, treatment and care [including children and adolescents], PMTCT, transition from 'catchup' to sustainable VMMC if country performs VMMCs, scale-up of viral load, EID, and other key metrics) ☑ Strategy includes explicit plans and activities to address the needs of key populations. ☑ Strategy includes all crucial response components to mitigate the impact of HIV on vulnerable children ☑ Strategy (or separate document) includes considerations and activities related to sustainability **1.1 Score:** 2.50	NERCHA. (2018). *Swaziland National Multisectoral HIV and AIDS Strategic Framework* . Mbabane: Eswatini Government. Ministry of Health. (2018). *National Health Sector Strategic Plan II (2018-2023).* Mbabane: Government of the Kingdom of Eswatini. NERCHA. (2016). *Umgubudla: a fast-track programme towards an AIDS-free Swaziland* . Mbabane: Swaziland Government.	The process to develop a new NSP (2018-2023) has been completed.The costed NOP wil be developed before the end of 2019. The current costed NOP has expired. The NOP will guide Sector Planning, COP planning and Global Fund Funding Requests. The Strategic Framework does not include explicit activites for key populations and requires a review to incoporate comprenhensive orphaned and vulnerable children impact mitigation and other vulnerable groups although there are sections in the strategy that are meant to address the needs of these groups. The GkoE is also in the process of developing a 5 year KP strategy that will align with the NSF and provide more specific activities.

1.2 Participation in National Strategy Development: Who actively participates in development of the country's national HIV/AIDS strategy?	◯ A. There is no national strategy for HIV/AIDS ⦿ B. The national strategy is developed with participation from the following stakeholders (check all that apply): ☑ Its development was led by the host country government ☑ Civil society actively participated in the development of the strategy ☑ Private health sector providers, facilities, and training institutions, actively participated in the development of the strategy ☑ Businesses and the corporate sector actively participated in the development of the strategy including workplace development and corporate social responsibility (CSR) ☑ External agencies (i.e. donors, other multilateral orgs., etc.) supporting HIV services in-country participated in the development of the strategy	1.2 Score: 2.50	NERCHA. (2018). *HIV /AIDS National Strategic Framework - 2018 - 2023.* Mbabane: Swaziland Government. Ministry of Health. (2018). *National Health Sector Strategic Plan II (2018-2023)* . Mbabane: Government of the Kingdom of Eswatini.	Swaziland Business Coalition Against HIV/AIDS (SWABCHA) cordinates private sectors participation. Further, the inclusion of HIV in the labour inspectors list of the Ministry of Labour has strenghted the private sector's involvement. The Corporate Social Responsibility (CSR) funds are supporting most initiatives other than HIV, and the response has not seen that as a funding source. There are gaps in civil society operationalising the strategy.

1.3 Coordination of National HIV Implementation: To what extent does the host country government coordinate all HIV/AIDS activities implemented in the country, including those funded or implemented by CSOs, private sector, and donor implementing partners?	Check all that apply:
	
	☑ There is an effective mechanism within the host country government for internally coordinating HIV/AIDS activities implemented by various government ministries, institutions, offices, etc.
	
	☑ The host country government routinely tracks and maps HIV/AIDS activities of:
	
	    ☑ civil society organizations
	
	    ☐ private sector (including health care providers and/or other private sector partners)
	
	    ☑ donors
	
	☑ The host country government leads a mechanism or process (i.e. committee, working group, etc.) that routinely convenes key internal and external stakeholders and implementers of the national response for planning and coordination purposes.
	
	☑ Joint operational plans are developed that include key activities of implementing organizations.
	
	☐ Duplications and gaps among various government, CSO, private sector, and donor activities are systematically identified and addressed.
		1.3 Score: 1.83	Eswatini National AIDS Program Annual Reports
			
			NERCHA-UNAIDS. *National Annual HIV/AIDS Reports.* Mbabane: Swaziland Government	

1.4 Sub-national Unit Accountability: Is there a mechanism by which sub-national units are accountable to national HIV/AIDS goals or targets? (note: equal points for either checkbox under option B)	⦿ A. There is no formal link between the national plan and sub-national service delivery. ◉ B. There is a formal link between the national plan and sub-national service delivery. (Check the ONE that applies.) ☑ Sub-national units have performance targets that contribute to aggregate national goals or targets. ☐ The central government is responsible for service delivery at the sub-national level.	1.4 Score:	2.50	NERCHA. (2018). *Swaziland National Multisectoral HIV and AIDS Strategic Framework - 2018 - 2022.* Mbabane: Swaziland Government. NERCHA. (2014). *National Multisectoral Operational Plan (NOP) 2014 - 2017.* Mbabane: Swaziland Government. Ministry of Health. (2018). N*ational Health Sector Strategic Plan II (2018-2023)* . Mbabane: Government of the Kingdom of Eswatini.	The National Decentralisation Policy is still pending finalization. The Regional Operational Plan is developed jointly , however, partners come with pre-popualted annual plans with activities. Information should be shared widely.
Planning and Coordination Score:			**9.33**		

2. Policies and Governance: Host country develops, implements, and oversees a wide range of policies, laws, and regulations that will achieve coverage of high impact interventions, ensure social and legal protection and equity for those accessing HIV/AIDS services, eliminate stigma and discrimination, and sustain epidemic control within the national HIV/AIDS response.			Data Source	Notes/Comments
2.1 WHO Guidelines for ART Initiation: Does current national HIV/AIDS technical practice follow current WHO guidelines for initiation of ART - i.e., optimal ART regimens for all populations (including TLD as recommended)?	For each category below, check yes or no to indicate if current national HIV/AIDS technical practice follows current WHO guidelines on optimal ART regimens for each of the following: A. Adults (>19 years) ☑ Yes ☐ No B. Pregnant and Breastfeeding Mothers ☑ Yes ☐ No C. Adolescents (10-19 years) ☑ Yes ☐ No D. Children (<10 years) ☑ Yes ☐ No	2.1 Score: 0.91	Ministry of Health. (2010). *National Comprehensive HIV Package of Care.* Mbabane: Swaziland Government Ministry of Health. (2018). *Swaziland Intergated HIV Management Guidelines.* Mbabane: Swaziland Government	Government rolled out Test and Start in October, 2016. PLHIV - Children uptake or reach is still lower.

2.2 Enabling Policies and Legislation: Are there policies or legislation that govern HIV/AIDS service delivery or policies and legislation on health care which is inclusive of HIV service delivery? Note: If one of the listed policies differentiates policy for specific groups, please note in the Notes/Comments column.	Check all that apply: ☑ A national public health services act that includes the control of HIV ☑ A task-shifting policy that allows trained non-physician clinicians, midwives, and nurses to initiate and dispense ART ☑ A task-shifting policy that allows trained and supervised community health workers to dispense ART between regular clinical visits ☑ Policies that permit patients stable on ART to have reduced clinical visits (i.e. every 6-12 months) ☑ Policies that permit patients stable on ART to have reduced ARV pickups (i.e. every 3-6 months) ☑ Policies that permit streamlined ART initiation, such as same day initiation of ART for those who are ready ☑ Legislation to ensure the well-being and protection of children, including those orphaned and made vulnerable by HIV/AIDS ☑ Policies that permit HIV self-testing ☑ Policies that permit pre-exposure prophylaxis (PrEP) ☑ Policies that permit post-exposure prophylaxis (PEP) ☑ Policies that allow HIV testing without parental consent for adolescents, starting at age 15 ☑ Policies that allow HIV-infected adolescents, starting at age 15, to seek HIV treatment without parental consent	2.2 Score: 0.91	Ministry of Health. (2016). *National Policy Guidelines for Community-Centered Models of ART Service Delivery (CommART) in Swaziland.* Mbabane: Swaziland Government. Ministry of Health. (2016). *Nurse-Led ART Initiation in Swaziland Participants Workbook.* Mbabane: Swaziland Government. Ministry of Health. (2016). S*waziland Ministry of Health Test and Start Guidelines.* Mbabane: Swaziland Government. Ministry of Health. (2010). *National Comprehensive HIV Package of Care.* Mbabane: Swaziland Government Ministry of Health. (2018). *Swaziland Intergated HIV Management Guidelines.* Mbabane: Swaziland Government.	HTS has a compponent of self testing and there is a self-testing pilot in progress since July 2017 to inform the self testing policy. PrEP is also being piloted (since August 2017). PEP is being used for post occupational & sexual exposure.

2.3 User Fees for HIV Services: Are HIV infected persons expected or likely to be asked to pay user fees, either formal or informal, for <u>any</u> HIV services in the public sector: clinical, laboratory, testing, prevention and others? Note: "Formal" user fees are those established in policy or regulation by a government or institution.	Check all that apply: ☑ No, neither formal nor informal user fees exist. ☐ Yes, formal user fees exist. ☐ Yes, informal user fees exist.	2.3 Score: 0.91		User fees do not apply to HIV services
2.4 User Fees for Other Health Services: Are HIV infected persons expected or likely to be asked to pay user fees, either formal or informal, for <u>any</u> non-HIV services in the public sector, such as MCH/SRH, TB, outpatient registration, hospitalizations, and others? Note: "Formal" user fees are those established in policy or regulation by a government or institution.	Check all that apply: ☐ No, neither formal nor informal user fees exist. ☑ Yes, formal user fees exist. ☑ Yes, informal user fees exist.	2.4 Score: 0.00		
2.5 Data Protection: Does the country have policies in place that support the collection and appropriate use of patient-level data for health, including HIV/AIDS?	The country has policies in place that (check all that apply): ☑ Govern the collection of patient-level data for public health purposes, including surveillance ☑ Govern the collection and use of unique identifiers such as national ID for health records ☑ Govern the privacy and confidentiality of health outcomes matched with personally identifiable information ☑ Govern the use of patient-level data, including protection against its use in criminal cases	2.5 Score: 0.91	Ministry of Health. *Health Information Management Systems Annual Reports.* Mbabane: Swaziland Government	PEPFAR is supporting the development of a Client Management Information System (CMIS) that suits all clinical environments ranging from large, medium to small facilities. The Health Management Information Systems (HMIS) unit in the Ministry of Health is responsible for monitoring the implementation of this system. The CMIS was designed for flexibility and scalability to meet future changes and demands for easy customization and report generation. It provides dashboards to simplify complex data analyses. The CMIS is an integrated Patient Management System for managing and reporting all diseases. CMIS includes Unique Identifier Codes

| 2.6 Legal Protections for Key Populations: Does the country have laws or policies that specify protections (not specific to HIV) for specific populations? | Check all that apply:

Transgender people (TG):

☑ Constitutional prohibition of discrimination based on gender diversity

☑ Prohibitions of discrimination in employment based on gender diversity

☐ A third gender is legally recognized

☐ Other non-discrimination provisions specifying gender diversity (note in comments)

Men who have sex with men (MSM):

☐ Constitutional prohibition of discrimination based on sexual orientation

☐ Hate crimes based on sexual orientation are considered an aggravating circumstance

☐ Incitement to hatred based on sexual orientation prohibited

☐ Prohibition of discrimiation in employment based on sexual orientation

☐ Other non-discrimination provisions specifying sexual orientation

Female sex workers (FSW):

☐ Constitutional prohibition of discrimination based on occupation

☐ Sex work is recognized as work

☐ Other non-discrimination protections specifying sex work (note in comments) | 2.6 Score: 0.15 | Note: This question is adapted from questions asked in the revised UNAIDS NCPI (2016). If your country has completed the new NCPI, you may use it as a data source to answer this question.

Swaziland Constitution 2006 (available from: www.gov.sz/Constitution.of.SD-2005A001.pdf)

Criminal Law and Procedure Act, 6 of 1889 | |

	People who inject drugs (PWID):			
	☐ Specific antidiscrimination laws or other provisions for people who use drugs (specify in comments)			
	☐ Explicit supportive reference to harm reduction in national policies			
	☐ Policies that address the specific needs of women who inject drugs			
2.7 Legal Protections for Victims of Violence: Does the country have protections in place for victims of violence?	The country has the following to protect key populations and people living with HIV (PLHIV) from violence: ☑ General criminal laws prohibiting violence ☐ Specific legal provisions prohibiting violence against people based on their HIV status or belonging to a key population ☑ Programs to address intimate partner violence ☑ Programs to address workplace violence ☑ Interventions to address police abuse ☑ Interventions to address torture and ill treatment in prisons ☑ A national plan or strategy to address gender-based violence and violence against women that includes HIV ☑ Legislation on domestic violence ☑ Criminal penalties for domestic violence ☑ Criminal penalties for violence against children	2.7 Score: 0.82	Note: This question is adapted from questions asked in the revised UNAIDS NCPI (2016). If your country has completed the new NCPI, you may use it as a data source to answer this question. Sexual Offences and Domestic Violence Act, 2018 Swaziland Constitution 2006 (available from: www.gov.sz/Constitution.of.SD-2005A001.pdf) Public Health Bill, 2014 Children Protection and Welfare Act of 2012	The Public Health Bill has been amended and tabled in Parliament. The Sexual Offences and Domestic Violence Act was passed in August 2018 Programs and interventions exist to cover gender-based violence but are not sufficient and comprehensive . Common Law has provision for violence.

2.8 Structural Obstacles: Does the country have laws and/or policies that present barriers to delivery of HIV prevention, testing and treatment services or the accessibility of these services?

For each question, select the most appropriate option:

Are transgender people criminalized and/or prosecuted in the country?

- ☐ Both criminalized and prosecuted

- ☐ Criminalized

- ☐ Prosecuted

- ☑ Neither criminalized nor prosecuted

Is cross-dressing criminalized in the country?

- ☐ Yes

- ☐ Yes, only in parts of the country

- ☐ Yes, only under certain circumstances

- ☑ No

Is sex work criminalized in your country?

- ☑ Selling and buying sexual services is criminalized

- ☐ Selling sexual services is criminalized

- ☐ Buying sexual services is criminalized

- ☐ Partial criminalization of sex work

- ☐ Other punitive regulation of sex work

- ☐ Sex work is not subject to punitive regulations or is not criminalized.

- ☐ Issue is determined/differs at subnational level

2.8 Score: 0.64

Note: This question is adapted from questions asked in the revised UNAIDS NCPI (2016). If your country has completed the new NCPI, you may use it as a data source to answer this question.

Criminal Law and Procedure Act, 6 of 1889

Prosecuted under the Criminal Law and Procedure Act, 6 of 1889.

Known HIV status is an aggravating factor in prosecution of rape cases.

Sodomy is a common-law crime in Swaziland.

The situation has not changed since SID 2017.

Does the country have laws criminalizing same-sex sexual acts?

☐ Yes, death penalty

☐ Yes, imprisonment (14 years - life)

☑ Yes, imprisonment (up to 14 years)

☐ No penalty specified

☐ No specific legislation

☐ Laws penalizing same-sex sexual acts have been decriminalized or never existed

Does the country maintain the death penalty in law for people convicted of drug-related offenses?

☐ Yes, with high application (sentencing of people convicted of drug offenses to death and/or carrying out executions are a routine and mainstreamed part of the criminal justice system)

☐ Yes, with low application (executions for drug offenses may have been carried out in recent years, but in practice such penalties are relatively rare)

☐ Yes, with symbolic application (the death penalty for drug offenses is included in legislation, but executions are not carried out)

☑ No

Does the country have laws criminalizing the transmission of, non-disclosure of, or exposure to HIV transmission?

☐ Yes

☑ No, but prosecutions exist based on general criminal laws

☐ No

Does the country have policies restricting the entry, stay, and residence of people living with HIV (PLHIV)?

☐ Yes

☑ No

	Does the country have other punitive laws affecting lesbian, gay, bisexual, transgender, and intersex (LGBTI) people? ☐ Yes, promotion ("propaganda") laws ☑ Yes, morality laws or religious norms that limit LGBTI freedom of expression and association ☐ No			
2.9 Rights to Access Services: Recognizing the right to nondiscriminatory access to HIV services and support, does the government have efforts in place to educate and ensure the rights of PLHIV, key populations, adolescents, and those who may access HIV services about these rights?	There are host country government efforts in place as follows (check all that apply): ☑ To educate PLHIV about their legal rights in terms of access to HIV services ☑ To educate key populations about their legal rights in terms of access to HIV services ☑ National law exists regarding health care privacy and confidentiality protections ☐ Government provides financial support to enable access to legal services if someone experiences discrimination, including redress where a violation is found	2.9 Score: 0.68	Ministry of Health. (2010). *National Comprehensive HIV Package of Care.* Mbabane: Swaziland Government Ministry of Health. (2018). *Swaziland Intergrated HIV Management Guidelines.* Mbabane: Swaziland Government	
2.10 Audit: Does the host country government conduct a national HIV/AIDS program audit or audit of Ministries that work on HIV/AIDS on a regular basis (excluding audits of donor funding that are through government financial systems)?	○ A. No audit is conducted of the National HIV/AIDS Program or other relevant ministry. ◉ B. An audit is conducted of the National HIV/AIDS program or other relevant ministries every 4 years or more. ○ C. An audit is conducted of the National HIV/AIDS program or other relevant ministries every 3 years or less.	2.10 Score: 0.45	Evaluation report for HIV /AIDS Extended National Strategic Framework - 2014 - 2018 (eNSF) implementation.	There have been program reviews as well as an end-term evaluation of theHIV /AIDS Extended National Strategic Framework - 2014 - 2018.
2.11 Audit Action: To what extent does the host country government respond to the findings of a HIV/AIDS audit or audit of Ministries that work on HIV/AIDS?	○ A. Host country government does not respond to audit findings, or no audit of the national HIV/AIDS program is conducted. ○ B. The host country government does respond to audit findings by implementing changes as a result of the audit. ◉ C. The host country government does respond to audit findings by implementing changes which can be tracked by legislature or other bodies that hold government accountable.	2.11 Score: 0.91	Evaluation report for HIV /AIDS Extended National Strategic Framework - 2014 - 2018 (eNSF) implementation.	New strategies have been informed by the above-mentioned evaluations.
	Policies and Governance Score: 7.30			

			Data Source	Notes/Comments
3. Civil Society Engagement: Local civil society is an active partner in the HIV/AIDS response through service delivery provision when appropriate, advocacy efforts as needed, and as a key stakeholder to inform the national HIV/AIDS response. There are mechanisms for civil society to review and provide feedback regarding public programs, services and fiscal management and civil society is able to hold government institutions accountable for the use of HIV/AIDS funds and for the results of their actions.				
3.1 Civil Society and Accountability for HIV/AIDS: Are there any laws or policies that restrict civil society from playing an oversight role in the HIV/AIDS response?	○ A. There exists a law or laws that restrict civil society from playing an oversight role in the HIV/AIDS response. ○ B. There are no laws that restrict civil society playing a role in providing oversight of the HIV/AIDS response but in practice, it does not happen. ◉ C. There are no laws or policies that prevent civil society from providing an oversight of the HIV/AIDS response and civil society is very actively engaged in providing oversight.	3.1 Score: 1.67	AIDS Accountability International. (2013). *Swaziland Civil Society Priorities Charter.* Mbabane: Ford Foundation.	
3.2 Government Channels and Opportunities for Civil Society Engagement: Does host country government have formal channels or opportunities for diverse civil society groups to engage and provide feedback on its HIV/AIDS policies, programs, and services (not including Global Fund CCM civil society engagement requirements)?	Check A, B, or C; if C checked, select appropriate disaggregates: ○ A. There are no formal channels or opportunities. ○ B. There are formal channels or opportunities, but civil society is called upon in an ad hoc manner to provide inputs and feedback. ◉ C. There are functional formal channels and opportunities for civil society engagement and feedback. Check all that apply: ☑ During strategic and annual planning ☑ In joint annual program reviews ☑ For policy development ☑ As members of technical working groups ☑ Involvement on government HIV/AIDS program evaluation teams ☑ Involvement in surveys/studies ☑ Collecting and reporting on client feedback ☑ Service delivery	3.2 Score: 1.67	AIDS Accountability International. (2013). *Swaziland Civil Society Priorities Charter.* Mbabane: Ford Foundation.	

3.3 Impact of Civil Society Engagement: Does civil society engagement substantively impact policy, programming, and budget decisions related to HIV/AIDS?	A. Civil society does not actively engage, or civil society engagement does not impact policy, programming, and budget decisions related to HIV/AIDS. ⦿ B. Civil society's engagement impacts HIV/AIDS policy, programming, and budget decisions (check all that apply): ☑ In policy design ☑ In programmatic decision making ☑ In technical decision making ☑ In service delivery ☑ In HIV/AIDS basket or national health financing decisions	3.3 Score: 1.67	NERCHA: Swaziland HIV/AIDS Program Monitoring System Quartely Reports (Available from: Www.Nercha.gov.sz)	NERCHA leads this process through the Swaziland HIV/AIDS Program Monitoring System where meetings are held quarterly in each of the four regions.
3.4 Domestic Funding of Civil Society: To what extent are HIV/AIDS related Civil Society Organizations funded domestically (either from government, private sector, or self generated funds)? (if exact or approximate overall percentage known, or the percentages from the various domestic sources, please note in Comments column)	A. No funding (0%) for HIV/AIDS related civil society organizations comes from domestic sources. ⦿ B. Minimal funding (approx. 1-9%) for HIV/AIDS related civil society organizations comes from domestic sources (not including Global Fund grants through government Principal Recipients). C. Some funding (approx. 10-49%) for HIV/AIDS related civil society organizations comes from domestic sources (not including Global Fund grants through government Principal Recipients). D. Most funding (approx. 50-89%) for HIV/AIDS related civil society organizations comes from domestic sources (not including Global Fund grants through government Principal Recipients). E. All or almost all funding (approx. 90%+) for HIV/AIDS related civil society organizations comes from domestic sources (not including Global Fund grants through government Principal Recipients).	3.4 Score: 0.83	Ministry of Finance. (2019). Eswatini National Budget Estimates 2019/20. Mbabane: Government of the Kingdom of Eswatini.	Most NGO are getting funding from external sources , including Global Fund
3.5 Civil Society Enabling Environment: Are there laws, policies, or regulations in place which permit CSOs to be funded from a government budget for HIV services through open competition (from any Ministry or Department, at any level - national, regional, or local)? Note: This sometimes referred to as "social contracting" or "social procurement."	⦿ A. There is no law, policy, or regulation which permits CSOs to be funded from a government budget for HIV Services through open competition (not to include Global Fund or other donor funding to government that goes to CSOs). B. There is a law, policy or regulation which permits CSOs to be funded from a government budget for HIV services. Check all that apply: ☐ Competition is open and transparent (notices of opportunities are made public) ☐ Opportunities for CSO funding are made on an annual basis ☐ Awards are made in a timely manner (within 6-12 months of announcements) ☐ Payments are made to CSOs on time for provision of services	3.5 Score: 0.00	Group discussion at SID workshop.	Some CSO get government subvention but there is criteria guiding how CSOs qualify for subventions.
Civil Society Engagement Score:		5.83		

4. Private Sector Engagement: Global as well as local private sector (both private health care providers and private business) is an active partner in the HIV/AIDS response through service delivery provision when appropriate, advocacy efforts as needed, innovation, and as a key stakeholder to inform the national HIV/AIDS response. There are supportive policies and mechanisms for the private sector to engage and to review and provide feedback regarding public programs, services and fiscal management of the national HIV/AIDS response. The public uses the private sector for HIV service delivery at a similar level as other health care needs.			Data Source	Notes/Comments
4.1 Government Channels and Opportunities for Private Sector Engagement: Does the host country government have formal channels and opportunities for diverse private sector entities (including service delivery, corporations, and private training institutions) to engage and provide feedback on its HIV/AIDS policies, programs, and services? (If option B is true, check all subsequent boxes that apply.)	○ A. There are no formal channels or opportunities for private sector engagement. ◉ B. There are formal channels or opportunities for private sector engagement. i. The following private sector stakeholders formally contribute input into national or sub-national processes for HIV/AIDS planning and strategic development (check all that apply): ☑ Corporations ☑ Employers ☐ Private training institutions ☑ Private health service delivery providers ii. Stakeholders contribute in the following ways (check all that apply): ☑ The private sector contributes technical expertise into HIV program planning ☑ Data and strategic input into supply chain management for HIV commodities ☐ Service delivery and/or client satisfaction data from private service delivery providers is included in health sector and HIV program planning ☑ Data on staffing in private health service delivery providers ☐ Data on private training institution's human resources for health (HRH) graduates and placements are included in health sector and HIV program planning ☑ For technical advisory on best practices and delivery solutions	4.1 Score: 1.74	NERCHA. (2018). *Swaziland National Multisectoral HIV and AIDS Strategic Framework - 2018 - 2022* . Mbabane: Swaziland Government.	

	iii. The national HIV/AIDS strategic plan explicitly addresses private sector's role in the HIV/AIDS response (check all that apply):			
	☑ The national HIV/AIDS strategic plan has a specific section that specifies the private sector's role in the HIV/AIDS response.			
	☑ A recent (within past 4 years) market analysis informs the private sector strategy that is included in the HIV/AIDS strategic plan			
	☐ The government and private sector effectively coordinates and executes a total market approach for HIV service delivery, which accounts for whether people are able and/or willing to pay for HIV services.			
4.2 Enabling Environment for Private Corporate Contributions to HIV/AIDS Programming: Does the host country government have systems and policies in place that allow for private corporate contributions to HIV/AIDS programming?	Check all that apply: ☐ Tax policies and incentives are designed to encourage corporate social responsibility efforts from companies who are contributing financial commitments and/or non-financial resources (including, but not limited to, product donations, expertise, and employee staff time). ☐ The host country government has in-house expertise in contracting services to private sector corporations when appropriate and necessary (e.g., transportation and waste management). ☐ The host country government has standards for reporting and sharing data across public and private sectors. ☑ Regulations help ensure that workplace programs align with the national HIV/AIDS program (e.g., medical leave policies, on-site testing, on-site prevention and education, anti-discrimination policies). ☑ There are strong linkage and referral networks between on-site workplace programs and public health care facilities.	4.2 Score: 1.00	Swaziland Business Coalition on HIV/AIDS Annual Reports Swaziland Occupational Safety and Health Act, Act no. 9 of 2001. Ministry of Health. (2018). *Swaziland Intergated HIV Management Guidelines.* Mbabane: Swaziland Government	Nothing is documented but corporations and private sector do support HIV initiatives through Corporate Social Responsibility Programme

| 4.3 Enabling Environment for Private Health Service Delivery: Does the host country government have systems and policies in place that allow for private health service delivery?

Note: Full score possible without checking all boxes. | ○ A. Private health service delivery providers are not legally allowed to deliver HIV/AIDS services.

○ B. The host country government plans to allow private health service delivery providers to provide HIV/AIDS services in the next two years.

◉ C. Private health service delivery providers are legally allowed to deliver HIV/AIDS services. In addition (check all that apply):

☑ Policies are in place to ensure that private providers receive, understand, and adhere to national guidelines/protocols for ART, and appropriate quality standards and certifications.

☑ Systems are in place for service provision and/or research reporting by private facilities to the government, including guidelines for data reporting.

☑ Joint (i.e., public-private) supervision and quality oversight of private facilities.

☐ The government offers tax deductions for private facilities delivering HIV/AIDS services.

☐ The government offers tax deductions for private training institutions.

☑ The private sector is eligible to procure HIV/AIDS and/or ART commodities via public sector procurement channels and/or national medical stores

☑ The host country government has formal contracting or service-level agreement procedures to compensate private facilities for HIV/AIDS services.

☐ HIV/AIDS services received in private facilities are eligible for reimbursement through national health insurance schemes

☐ There are open competitions for private health care providers to compete for government service contracts

☐ There is a systematic and timely process for private company registration and/or testing of new health products (e.g., drugs, diagnostic kits, medical devices, etc.) that support HIV/AIDS programming

☐ The government effectively regulates the flow of subsidized commodities into the private sector.

☐ Private Banks or lenders provide access to low interest loans prioritizing private health sector small and medium-sized enterprise (SME) development and expansion. | 4.3 Score: 1.94 | Swaziland Medical and Dental Practitioners Regulations, 1991. | Some private sector health facilities and practitioners are supported by the government for ARV's, family planning commodities, HIV testing services commodities and childhood immunizations. |

4.4 Private Sector Capability and Interest: Does the private sector possess the capability to support HIV/AIDS services, and do private sector stakeholders demonstrate interest in supporting the national HIV/AIDS response?	○ A. The host country government does not leverage the skill sets of the private sector for the national HIV/AIDS response. ○ B. The private sector does not express interest in or actively seek out opportunities to support the national HIV/AIDS response. ◉ C. The private sector has expertise and has expressed interest in or actively seeks out (check all that apply): ☑ Market opportunities that align with and support the national HIV/AIDS response ☐ Opportunities to contribute financial and/or non-financial resources to the national response (including business skills, market research, logistics, communication, research and development, product design, brand awareness, and innovation)	4.4 Score: 1.25	Swaziland Business Coalition on HIV/AIDS Annual Reports	The response in based on experience and interest shown by their participation through Swaziland Business Coalition on HIV AIDS
	Private Sector Engagement Score: 5.93			

			Source of Data	Notes/Comments
5. Public Access to Information: Host government widely disseminates timely and reliable information on the implementation of HIV/AIDS policies and programs, including goals, progress and challenges towards achieving HIV/AIDS targets, as well as fiscal information (public revenues, budgets, expenditures, large contract awards , etc.) related to HIV/AIDS. Program and audit reports are published publically. Efforts are made to ensure public has access to data through print distribution, websites, radio or other methods of disseminating information.				
5.1 Surveillance Data Transparency: Does the host country government ensure that national HIV/AIDS surveillance data and analyses are made available to stakeholders and general public in a timely and useful way?	○ A. The host country government does not make HIV/AIDS surveillance data available to stakeholders and the general public, or they are made available more than one year after the date of collection. ○ B. The host country government makes HIV/AIDS surveillance data available to stakeholders and the general public within 6-12 months. ◉ C. The host country government makes HIV/AIDS surveillance data available to stakeholders and the general public within six months.	5.1 Score: 2.00	Ministry of Health. (2006). *Scientific and Ethics Guidelines for awarding Research.* Mbabane: Swaziland Government.	
5.2 Expenditure Transparency: Does the host country government make annual HIV/AIDS expenditure data available to stakeholders and the public in a timely and useful way?	○ A. The host country government does not track HIV/AIDS expenditures. ○ B. The host country government does not make HIV/AIDS expenditure data available to stakeholders and the general public, or they are made available more than one year after the date of expenditures. ◉ C. The host country government makes HIV/AIDS expenditure data available to stakeholders and the general public within 6-12 months after date of expenditures. ○ D. The host country government makes HIV/AIDS expenditure data available to stakeholders and the general public within six months after expenditures.	5.2 Score: 1.00	NERCHA. (2015). *National AIDS Spending Assessment.* Mbabane: Swaziland Government. AIDS Coordinating and Management Section. (2018). *External Assistance in Swaziland.* Mbabane: Swaziland Government.	

5.3 Performance and Service Delivery Transparency: Does the host country government make annual HIV/AIDS program performance and service delivery data available to stakeholders and the public in a timely and useful way?	○ A. The host country government does not make HIV/AIDS program performance and service delivery data available to stakeholders and the general public or they are made available more than one year after the date of programming. ◉ B. The host country government makes HIV/AIDS program performance and service delivery data available to stakeholders and the general public within 6-12 months after date of programming. ○ C. The host country government makes HIV/AIDS program performance and service delivery data available to stakeholders and the general public within six months after date of programming . At what level of detail is this performance data reported? [CHECK ALL THAT APPLY] ☑ National ☐ District ☐ Site-Level	5.3 Score: 0.89	Swaziland National AIDS Program Reports (annually)	
5.4 Procurement Transparency: Does the host country government make government HIV/AIDS procurements public in a timely way?	○ A. The host country government does not make any HIV/AIDS procurements. ○ B. The host country government makes HIV/AIDS procurements, but neither procurement tender nor award details are publicly available. ○ C. The host country government makes HIV/AIDS procurements, and tender, but not award, details are publicly available. ◉ D. The host country government makes HIV/AIDS procurements, and both tender and award details available.	5.4 Score: 2.00	Local newspapers: Times of Swaziland Swaziland Observer Eswatini Public Procurement Regulatory Authority website (sppra.co.z)	Tender information is available in local newspaper and on the public procurement regulatory authority website at the time of tendering. The awards are only published in local newspapers in lump sums (per winning bidder) and rarely itemised.

				National Emergency Response Council on HIV : information Centre	
5.5 Institutionalized Education System: Is there a government agency that is explicitly responsible for providing scientifically accurate education to the public about HIV/AIDS?	⭘ A. There is no government institution that is responsible for this function and no other groups provide education. ⭘ B. There is no government institution that is responsible for this function but at least one of the following provides education: ☐ Civil society ☐ Media ☐ Private sector ⦿ C. There is a government institution that is responsible for, and is providing, scientifically accurate information on HIV/AIDS.	5.5 Score:	2.00		
	Public Access to Information Score:		7.89		

THIS CONCLUDES THE SET OF QUESTIONS ON DOMAIN A

Domain B. National Health System and Service Delivery

What Success Looks Like: Host country institutions (inclusive of government, NGOs, civil society, and the private sector), the domestic workforce, and local health systems constitute the primary vehicles through which HIV/AIDS programs and services are managed and delivered. Optimally, national, sub-national and local governments have achieved high and appropriate coverage of a range of quality, life-saving prevention, treatment, and care services and interventions. There is a high demand for HIV/AIDS services, which are accessible and affordable to poor and vulnerable populations at risk of infection (i.e. key populations, discordant couples, exposed infants), are infected and/or are affected by the HIV/AIDS epidemic.

6. Service Delivery: The host country government at national, sub-national and facility levels facilitates planning and management of, access to and linkages between facility- and community-based HIV services.			Data Source	Notes/Comments
6.1 Responsiveness of facility-based services to demand for HIV services: Do public facilities respond to and generate demand for HIV services to meet local needs? (Check all that apply.)	☑ Public facilities are able to tailor services to accommodate demand (e.g., modify or add hours/days of operations; add/second additional staff during periods of high patient influx; customize scope of HIV services offered; adapt organization/model of service deliver to patient flow) ☑ Public facilities are able to situate services in proximity to high-HIV burden locations or populations (e.g., mobile clinics) ☑ There is evidence that public facilities in high burden areas and/or serving high-burden populations generate demand for HIV services	6.1 Score: 0.95	Swaziland HIV Service Standards, 2017 Ministry of Health. (2010). *National Essential Health Care Package.* Mbabane: Swaziland Government. NERCHA. (2014). *The Health Sector Response to HIV/AIDS Plan 2014-2018.* Mbabane: Swaziland Government.	Some facilities provide outreach services to communities but there is still need to intensify the commnuity outreach programs. Demand creation only happens at national level not at facility level. The emerging areas in 2017 include fast track in pharmacies. Pharmacies are also opening earlier to provide earlt morning refills for clients (but there are HR constraints). There has been an increase in the number of sites that have been accredited, Teen clubs and Community ART. The Eswatini National AIDS Program Surge activities also improved
	The host country has standardized the following design and implementation components of community-based HIV/AIDS services through (check all that apply): ☑ Formalized mechanisms of participation by communities, high-burden populations and/or civil society engagement in delivery or oversight of services ☑ National guidelines detailing how to operationalize HIV/AIDS services in communities ☑ Providing official recognition to skilled human resources (e.g. community health workers) working and delivering HIV services in communities ☑ Providing financial support for community-based services ☐ Providing supply chain support for community-based services	6.2 Score: 0.79	Swaziland HIV Service Standards, 2017 Ministry of Health. (2010). *National Essential Health Care Package.* Mbabane: Swaziland Government. NERCHA. (2014). *The Health Sector Response to HIV/AIDS Plan 2014-2018.* Mbabane: Swaziland Government. Adherence and Psychosocial Support Standard Operating Procedures, 2014	The epidemic remains generalized hence there is uniform service provision across the country. Linkages still need to be strengthened. Some lay cadres in the community support certain service delivery components but they not recognized in the formal government systems (this recognition is imperative for further sustainability). Community-based ART service guidelines exist, but similar guidelines

			National Policy Guidelines on TB/HIV Collaborative Activities, 2015	for other HIV service delivery are not operationalized (guidelines finalized but not launched).
6.2 Responsiveness of community-based HIV/AIDS services: Has the host country standardized the design and implementation of community-based HIV services? (Check all that apply.)	☑ Supporting linkages between facility- and community-based services through formalized bidirectional referral services (e.g., use of national reporting systems to refer and monitor referrals for completeness)		Eswatini National AIDS Program Annual Reports	Community-based services are still provided in an adhoc manner with little coordination. There are health committees in the communities. There arew initiatives to engage community leadership structures to drive and lead the response. There is very limited financial support provided to the communities. There are Regional Health Management Teams (RHMTs) who also provide minimal support because they work on large portfolios. There are linkages between facility and community-based services but not at maximum level, still for treatment and for prevention. Generalized epidemic hence uniform service provision across the country. Fully operational guidelines, but still a work in progress. Linkages need to be strengthened. Recgongized some cadres in the community but not recognized in the formal government systems (would
6.3 Domestic Financing of Service Delivery: To what extent do host country institutions (public, private, or voluntary sector) finance the delivery of HIV/AIDS services (i.e. excluding any external financial assistance from donors)? (if exact or approximate percentage known, please note in Comments column)	○ A. Host country institutions provide no (0%) financing for delivery of HIV/AIDS services ○ B. Host country institutions provide minimal (approx. 1-9%) financing for delivery of HIV/AIDS services ◉ C. Host country institutions provide some (approx. 10-49%) financing for delivery of HIV/AIDS services ○ D. Host country institutions provide most (approx. 50-89%) financing for delivery of HIV/AIDS services ○ E. Host country institutions provide all or almost all (approx. 90%+) financing for delivery of HIV/AIDS services	6.3 Score: 0.83	AIDS Coordinating and Management Section. 2018. *External Assistance in Swaziland.* Mbabane: Swaziland Government.	

6.4 Domestic Provision of Service Delivery: To what extent do host country institutions (public, private, or voluntary sector) deliver HIV/AIDS services without external technical assistance from donors?	○ A. HIV/AIDS services are primarily delivered by external agencies, organizations, or institutions. ◉ B. Host country institutions deliver HIV/AIDS services but with substantial external technical assistance. ○ C. Host country institutions deliver HIV/AIDS services with some external technical assistance. ○ D. Host country institutions deliver HIV/AIDS services with minimal or no external technical assistance.	6.4 Score: 0.32	Ministry of Health. (2016). *Human Resources for Health Staffing Norms.* Mbabane: Swaziland Government. Human Resources for Health Assessment Report, 2017.	Delivery is is still reliant on technical assistant from donors (PEPFAR, GF, UN, etc) largely due to an increase in demand rather than because the health care workers are not skilled enough. This is exarcebated by the fact that not all programs have been fully integrated and the silo approach is still there. In some cases, actual service delivery is through donor-funded implementing partners.
6.5 Domestic Financing of Service Delivery for Key Populations: To what extent do host country institutions (public, private, or voluntary sector) finance the delivery of HIV/AIDS services to key populations (i.e. without external financial assistance from donors)? (if exact or approximate percentage known, please note in Comments column)	○ A. Host country institutions provide no or minimal (0%) financing for delivery of HIV/AIDS services to key populations, or information is not available. ◉ B. Host country institutions provide minimal (approx. 1-9%) financing for delivery of HIV/AIDS services to key populations. ○ C. Host country institutions provide some (approx. 10-49%) financing for delivery of HIV/AIDS services to key populations. ○ D. Host country institutions provide most (approx. 50-89%) financing for delivery of HIV/AIDS services to key populations. ○ E. Host country institutions provide all or almost all (approx. 90%+) financing for delivery of HIV/AIDS services to key populations.	6.5 Score: 0.42	AIDS Coordinating and Management Section. 2017. *External Assistance in Swaziland.* Mbabane: Swaziland Government.	15-25% :service are present/integrated into the larger health system but access by Key Populations is still not utilized and further outreach and KP sensitive service providers and clinics are needed. There is non-discrimination training and sensitization, but discrimination still occurs. These activities are largely covered by partners.
6.6 Domestic Provision of Service Delivery for Key Populations: To what extent do host country institutions (public, private, or voluntary sector) deliver HIV/AIDS services to key populations without external technical assistance from donors?	○ A. HIV/AIDS services to key populations are primarily delivered by external agencies, organizations, or institutions. ○ B. Host country institutions deliver HIV/AIDS services to key populations but with substantial external technical assistance. ◉ C. Host country institutions deliver HIV/AIDS services to key populations with some external technical assistance. ○ D. Host country institutions deliver HIV/AIDS services to key populations with minimal or no external technical assistance.	6.6 Score: 0.63	Health Community Capacity Collaborative. (2015). *Characterizing the HIV Prevention and Treatment Needs among Key Populations, including Men who Have Sex with Men and Female Sex Workers in Swaziland: From Evidence to Action.* Mbabane: HC3.	See not above. Technical Assistance for training and outreach are still important to ensure KP are accessing services and providers are sensitive to KP concers and needs.
6.7 Management and Monitoring of HIV Service Delivery: Does an administrative entity, such as a national office or Bureau/s, exist with specific authority to manage - plan, monitor, and provide guidance - for HIV service delivery activities including practice standards, quality, health outcomes, and information monitoring across all sectors. <u>Select only ONE answer.</u>	○ A. No, there is no entity. ○ B. Yes, there is an entity, but it has limited authority, insufficient staff, and insufficient budget. ○ C. Yes, there is an entity with authority and sufficient staff, but not a sufficient budget. ○ D. Yes, there is an entity with authority and sufficient staff and budget.	6.7 Score: 0.00		

6.8 National Service Delivery Capacity: Do national health authorities have the capacity to effectively plan and manage HIV services?	National health authorities (check all that apply): ☑ Translate national policies/strategies into sub-national level HIV/AIDS strategic plan and response activities. ☑ Use epidemiologic and program data to measure effectiveness of sub-national level programs in delivering needed HIV/AIDS services in right locations. ☑ Assess current and future staffing needs based on HIV/AIDS program goals and budget realities for high burden locations. ☐ Develop sub-national level budgets that allocate resources to high burden service delivery locations. ☑ Effectively engage with civil society in program planning and evaluation of services. ☐ Design a staff performance management plan to assure that staff working at high burden sites maintain good clinical and technical skills, such as through training and/or	6.8 Score:	0.63	Ministry of Health. (2015). *Service Availability Mapping.* Mbabane: Swaziland Government. Ministry of Health. (2016). *Human Resources for Health Staffing Norms.* Mbabane: Swaziland Government.	There are platforms CSOs engagement., e.g. CCM, TWG . There is a process for budget allocation but there needs better allocation. Process not top down and needs to be revisited to potential bottom up. Staffing analysis not done effectively to allocate HR to high burden facilities. Ministry of Public Service is in the process of developing the perf. management system with assistance from World Bank
6.9 Sub-national Service Delivery Capacity: Do sub-national health authorities (i.e., district, provincial) have the capacity to effectively plan and manage HIV services sufficiently to achieve sustainable epidemic control?	Sub-national health authorities (check all that apply): ☑ Translate national policies/strategies into sub-national level HIV/AIDS strategic plan and response activities. ☑ Use epidemiologic and program data to measure effectiveness of sub-national level programs in delivering needed HIV/AIDS services in right locations. ☐ Assess current and future staffing needs based on HIV/AIDS program goals and budget realities for high burden locations. ☐ Develop sub-national level budgets that allocate resources to high burden service delivery locations. ☐ Effectively engage with civil society in program planning and evaluation of services. ☐ Design a staff performance management plan to assure that staff working at high burden sites maintain good clinical and technical skills, such as through training and/or mentorship.	6.9 Score:	0.32	Ministry of Health. (2015). *Service Availability Mapping.* Mbabane: Swaziland Government. Ministry of Health. (2016). *Human Resources for Health Staffing Norms.* Mbabane: Swaziland Government.	There should be greater engagement at the sub-national level to ensure great input and use of data for decision making. The RHMTs are taking the lead on epidemoligal data for planning and programming for quality improvement. Performance Management: as above, in process.
	Service Delivery Score		**4.90**		

			Data Source	Notes/Comments
7. Health Workforce: Health workforce staffing decisions for those working on HIV/AIDS are based on use of workforce data and are aligned with national plans. Host country has sufficient numbers and categories of competent health care workers and volunteers to provide quality HIV/AIDS prevention, care and treatment services in health facilities and in the community. Host country trains, deploys and compensates health workers providing HIV/AIDS services through local public and/or private resources and systems. Host country has a strategy or plan for transitioning staff funded by donors.				
7.1 Health Workforce Supply: To what extent is the clinical health worker supply adequate to enable the volume and quality of HIV/AIDS services needed for sustained epidemic control at the facility and/or community site level?	Check all that apply: ☐ The country's pre-service education institutions are producing an adequate supply and skills mix of clinical health care providers ☐ The country's clinical health workers are adequately deployed to, or distributed within, facilities and communities with high HIV burden ☐ The country has developed retention schemes that address clinical health worker vacancy or attrition in high HIV burden areas ☐ The country's pre-service education institutions are producing an adequate supply and appropriate skills mix of social service workers to deliver social services to vulnerable children	7.1 Score: 0.00	Quarterly Human Resources Information System (HRIS) Report Ministry of Health. (2012). *Human Resources for Health Strategic Plan 2012 - 2017.* Mbabane: Swaziland Government.	The mix of skills produced at pre-service education is not adequate. The distribution of HCWs is by need and burden of disease but the numbers may not be adequate. The HRIS Report idenfies vacancies. For the social workers-specific question: the training of social workers was not informed by a needs assessment and the MOH had no input in the program development.
7.2 Role of Community-based Health Workers (CHWs): To what extent are community-based health workers' roles and responsibilities specified for HIV/AIDS service delivery?	Check all that apply: ☑ There is a national community-based health worker (CHW) cadre that has a defined role in HIV/AIDS service delivery (e.g., through a national strategy or task-sharing framework/guidelines). ☑ Data are made available on the staffing and deployment of CHWs, including non-formalized CHWs supported by donors. ☑ The host country government officially recognizes non-formalized CHWs delivering HIV/AIDS services.	7.2 Score: 0.95	Ministry of Health. (2011). *National Health Task-Shifting Framework.* Mbabane: Swaziland Government. Ministry of Health. (2012). *Human Resources for Health Strategic Plan 2012 - 2017.* Mbabane: Swaziland Government.	Although there is a task-shifting framework, it has not yet been implemented by the MOH.
7.3 Health Workforce Transition: What is the status of transitioning PEPFAR and/or other donor supported HIV/AIDS health worker salaries to local financing/compensation? Note in comments column which donors have transition plans in place and timeline for transition.	○ A. There is no inventory or plan for transition of donor-supported health workers ● B. There is an inventory of donor-supported health workers, but no official plan to transition these staff to local support ○ C. There is an inventory and plan for transition of donor-supported workers, but it has not yet been implemented ○ D. There is an inventory and plan for donor-supported workers to be transitioned, and staff are being transitioned according to this plan ○ E. No plan is necessary because all HIV/AIDS health worker salaries are already locally financed/compensated	7.3 Score: 0.24	Public Service Establishment Register, 2019	A draft Transition/Absorption Plan is available but needs to be finalized. An HRH mapping exercise supported by PEPFAR in 2018 contributed to moving the process forward.

7.4 Domestic Funding for Health Workforce: What proportion of health worker (doctors, nurses, midwives, and CHW) salaries are supported with domestic public or private resources (i.e. excluding donor resources)? (if exact or approximate percentage known, please note in Comments column)	◯ A. Host country institutions provide no (0%) health worker salaries ◯ B. Host country institutions provide minimal (approx. 1-9%) health worker salaries ◉ C. Host country institutions provide some (approx. 10-49%) health worker salaries ◯ D. Host country institutions provide most (approx. 50-89%) health worker salaries ◯ E. Host country institutions provide all or almost all (approx. 90%+) health worker salaries	7.4 Score: 1.67	Ministry of Health. (2016). *Human Resources for Health Staffing Norms.* Mbabane: Swaziland Government. Ministry of Public Service Establishment Register, 2019 Ministry of Public Service Wages Circular , 2018 Ministry of Finance. (2019). *Eswatini National Budget Estimates 2019/20* .	Government supports a majority of Health Care Workers. Lab personnel are at least 60% donor supported. From the MOH budget, it can be seen that salaries account for the biggest proportion of the MOH expenditure. salaries that are paid for by all donors needs to be collected or synthesized
7.5 Pre-service Training: Do current pre-service education curricula for any health workers providing HIV/AIDS services include HIV content that has been updated in last three years? Note: List applicable cadres in the comments column.	◯ A. Pre-service education institutions do not have HIV content, or HIV content used by pre-service education institutions is out of date (not updated within 3 years) ◉ B. Pre-service institutions have updated HIV/AIDS content within the last three years (check all that apply): ☑ Updated content reflects national standards of practice for cadres offering HIV/AIDS-related services ☐ Institutions maintain process for continuously updating content, including HIV/AIDS content ☑ Updated curricula contain training related to stigma & discrimination of PLHIV ☐ Institutions track student employment after graduation to inform planning	7.5 Score: 0.71	Pre-Service Training Curriculum	The pre-service training curricula for nurses was updated within the last three years with PEPFAR support. The Pharmacy Technician and Laboratory Technologists training also incorporates an HIV/AIDS course.
7.6 In-service Training: To what extent does the host country government (through public, private, and/or voluntary sectors) plan and implement HIV/AIDS in-service training necessary to equip health workers for sustained epidemic control? (if exact or approximate percentage known, please note in Comments column)	Check all that apply among A, B, C, D: ☑ A. The host country government provides the following support for in-service training in the country (check ONE): ☐ Host country government implements no (0%) HIV/AIDS related in-service training ☐ Host country government implements minimal (approx. 1-9%) HIV/AIDS related in-service training ☐ Host country government implements some (approx. 10-49%) HIV/AIDS in-service training ☐ Host country government implements most (approx. 50-89%) HIV/AIDS in-service training ☑ Host country government implements all or almost all (approx. 90%+) HIV/AIDS in-service training ☑ B. The host country government has a national plan for institutionalizing (establishing capacity within local institutions to deliver) donor-supported in-service training in HIV/AIDS ☑ C. The host country government requires continuing professional development, a form of in-service training, for re-licensure for key clinicians ☑ D. The host country government maintains a database to track training for HIV/AIDS, and allocates training based on need (e.g. focusing on high burden areas)	7.6 Score: 0.95	Training information management System (2016)	There is a Training Imformation Management System (TIMS) that was developed through URC Assist (PEPFAR funded). The focus of this system is on HIV training, but it was developed as a platform in such a way that the MOH can adapt and expand beyond HIV cadres. Implementing Partners also maintain their own databases. Although there is no formal plan to transition donor-supported in-service training on HIV/AIDS, this training is largely institutionalized (under the National AIDS Program) and the GKoE would be able to take it up if there was no donor support. Institutions of higher learning provide HIV/AIDS training as part of pre-service training.

7.7 Health Workforce Data Collection and Use: Does the country systematically collect and use health workforce data, such as through a Human Resource Information Systems (HRIS), for HIV/AIDS services and/or health workforce planning and management?	○ A. There is no HRIS in country and data on the health workforce is not collected systematically for planning and management
	
	○ B. There is no HRIS in country, but some data is collected for planning and management
	
	  ☐ Registration and re-licensure data for key professionals is collected and used for planning and management
	
	  ☐ MOH health worker employee data (number, cadre, and location of employment) is collected and used
	
	  ☐ Routine assessments are conducted regarding health worker staffing at health facility and/or community sites
	
	◉ C. There is an HRIS (an interoperable system that captures at least regulatory and deployment data on health workers) in country:
	
	  ☑ The HRIS is primarily financed and managed by host country institutions
	
	  ☑ There is a national strategy or approach to interoperability for HRIS
	
	  ☐ The government produces HR data from the system at least annually
	
	  ☐ Host country institutions use HR data from the system for planning and management (e.g. health worker deployment)
		7.7 Score: 0.71	Human Resources Information System (HRIS) Report	The HRIS is functional but is yet to be used for planning and management purposes.

7.8 Management and Monitoring of Health Workforce Does an administrative entity, such as a national office or Bureau/s, exist with specific authority to manage - plan, monitor, and provide guidance - for health workforce activities in HIV service delivery sites, including training, supervision, deployments, quality assurance, and others across all sectors. Select only ONE answer.	○ A. No, there is no entity. ◉ B. Yes, there is an entity, but it has limited authority, insufficient staff, and insufficient budget ○ C. Yes, there is an entity with authority and sufficient staff, but not a sufficient budget. ○ D. Yes, there is an entity with authority and sufficient staff and budget.	7.8 Score: 0.32		Ministry of Health Planning Unit, Personnel Unit, Civil Service Commission
	Health Workforce Score: 5.56			

8. Commodity Security and Supply Chain: The National HIV/AIDS response ensures a secure, reliable and adequate supply and distribution of quality products, including drugs, lab and medical supplies, health items, and equipment required for effective and efficient HIV/AIDS prevention, diagnosis and treatment. Host country efficiently manages product selection, forecasting and supply planning, procurement, warehousing and inventory management, transportation, dispensing and waste management reducing costs while maintaining quality.			Data Source	Notes/Comments
8.1 ARV Domestic Financing: What is the estimated percentage of ARV procurement funded by domestic sources? (Domestic sources includes public sector and private sector but excludes donor and out-of-pocket funds) (if exact or approximate percentage known, please note in Comments column)	○A. This information is not known. ○B. No (0%) funding from domestic sources ○C. Minimal (approx. 1-9%) funding from domestic sources ○D. Some (approx. 10-49%) funded from domestic sources ○E. Most (approx. 50 – 89%) funded from domestic sources ⦿F. All or almost all (approx. 90%+) funded from domestic sources	8.1 Score: 0.83	Ministry of Finance. (2019). *Eswatini National Budget Estimates 2019/20.* Mbabane: Government of the Kingdom of Eswatini. National Annual Quantification Report (2018 - 19)	GKoE procures all adult ART medicines
8.2 Test Kit Domestic Financing: What is the estimated percentage of HIV Rapid Test Kit procurement funded by domestic sources? (Domestic sources includes public sector and private sector but excludes donor and out-of-pocket funds) (if exact or approximate percentage known, please note in Comments column)	○A. This information is not known ○B. No (0%) funding from domestic sources ○C. Minimal (approx. 1-9%) funding from domestic sources ⦿D. Some (approx. 10-49%) funded from domestic sources ○E. Most (approx. 50-89%) funded from domestic sources ○F. All or almost all (approx. 90%+) funded from domestic sources	8.2 Score: 0.42	PEPFAR Expenditure Anaysis (2018)	In the current Global Fund Grant, HIV test kits are procured through Global Fund support. This is a change because prior to the 2018 - 2021 grant, the GKoE and PEPFAR procured a considerable proportion of the test kits. PEPFAR still supports the procurement of test kits
8.3 Condom Domestic Financing: What is the estimated percentage of condom procurement funded by domestic (not donor) sources? *Note:* The denominator should be the supply of free or subsidized condoms provided to public or private sector health facilities or community based programs. (if exact or approximate percentage known, please note in Comments column)	○A. This information is not known ⦿B. No (0%) funding from domestic sources ○C. Minimal (approx. 1-9%) funding from domestic sources ○D. Some (approx. 10-49%) funded from domestic sources ○E. Most (approx. 50-89%) funded from domestic sources ○F. All or almost all (approx. 90%+) funded from domestic sources	8.3 Score: 0.00	PEPFAR Expenditure Anaysis (2018)	PEPFAR has been funding 100% of the condoms in the public sector. There have been no domestic funds allocated to the procurement of condoms since 2017.

8.4 Supply Chain Plan: Does the country have an agreed-upon national supply chain plan that guides investments in the supply chain?	○ A. There is no plan or thoroughly annually reviewed supply chain standard operating procedure (SOP). ◉ B. There is a plan/SOP that includes the following components (check all that apply): ☑ Human resources ☑ Training ☑ Warehousing ☑ Distribution ☑ Reverse Logistics ☑ Waste management ☑ Information system ☑ Procurement ☑ Forecasting ☑ Supply planning and supervision ☑ Site supervision	8.4 Score: 1.67	Ministry of Health. (2019). *Eswatini Health Sector Supply Chain Strategy*. Mbabane: Government of the Kingdom of Eswatini. Ministry of Healt. (2012). *Swaziland Pharmaceutical Strategic Plan 2012 - 2016.* Mbabane: Swaziland Government. Ministry of Health. (2012). C*entral Medical Stores Standard Operating Procedures.* Mbabane: Swaziland Government. Ministry of Health. (2012). N*ational Pharmaceutical Standard Operating Procedures.* Mbabane: Swaziland Government.	
8.5 Supply Chain Plan Financing: What is the estimated percentage of financing for the supply chain plan that is provided by domestic sources (i.e. excluding donor funds)? (if exact or approximate percentage known, please note in Comments column)	○ A. This information is not available. ○ B. No (0%) funding from domestic sources. ○ C. Minimal (approx. 1-9%) funding from domestic sources. ◉ D. Some (approx. 10-49%) funding from domestic sources. ○ E. Most (approx. 50-89%) funding from domestic sources. ○ F. All or almost all (approx. 90%+) funding from domestic sources.	8.5 Score: 0.42	Ministry of Health. *National Three-Year Quantification and Forecasting Report (2016-2019).* Mbabane: Swaziland Government. Ministry of Health. Q*uarterly Supply Plan for ARVs and TB Medicines.* Mbabane: Swaziland Government.	HR, Distribution and Logistics, Warehousing, Forecasting and Supply Planning are funded by domestic funding mainly. PEPFAR supports the Logistics Management System and provides technical assistance for Forecasting and Supply Planning and Supervision. PEPFAR also supports oand seconds officers to the Procurement Unit. The GF is supporting the transitioning to a new electronic warehouse management system.

8.6 Stock: Does the host country government manage processes and systems that ensure appropriate ARV stock in all levels of the system?	Check all that apply:
	
	☑ The group making re-supply decisions for ARVs, have timely visibility into the ARV stock on hand at facilities
	
	☐ Facilities are stocked with ARVs according to plan (above the minimum and below the maximum stock level) 90% of the time
	
	☑ MOH or other host government personnel make re-supply decisions with minimal external assistance:
	
	   ☑ Decision makers are not seconded or implementing partner staff
	
	   ☑ Supply chain data are maintained within the Ministry of Health and not solely stored by donor-funded projects
	
	   ☑ Team that conducts analysis of facility data is at least 50% host government
		8.6 Score:	1.11	National Quantification Committee and National Essential Medicnes Committee meeting minutes	The groups making the decisions underwent a period of transition with staffing transtions within the MOH pharmaceutical services department. Periods of data-entry backlogs also affect facility-level data visibility.

8.7 Assessment: Was an overall score of above 80% achieved on the National Supply Chain Assessment or top quartile for an equivalent assessment conducted within the last three years? (if exact or approximate percentage known, please note in Comments column)	○ A. A comprehensive assessment has not been done within the last three years. ◉ B. A comprehensive assessment has been done within the last three years but the score was lower than 80% (for NSCA) or in the bottom three quartiles for the global average of other equivalent assessments ○ C. A comprehensive assessment has been done within the last three years and the score was higher than 80% (for NSCA) or in the top quartile for the assessment	8.7 Score:	0.83	Swaziland Inter-ministerial Report on Medicines Availability Assessment, 2017.	
8.8 Management and Monitoring of Supply Chain: Does an administrative entity, such as a national office or Bureau/s, exist with specific authority to manage - plan, monitor, and provide guidance - supply chain activities including forecasting, stock monitoring, logistics and warehousing support, and other forms of information monitoring across all sectors? Select only ONE answer.	○ A. No, there is no entity. ◉ B. Yes, there is an entity, but it has limited authority, insufficient staff, and insufficient budget ○ C. Yes, there is an entity with authority and sufficient staff, but not a sufficient budget. ○ D. Yes, there is an entity with authority and sufficient staff and budget.	8.8 Score:	0.56		
	Commodity Security and Supply Chain Score:		5.83		

			Data Source	Notes/Comments
9. Quality Management: Host country has institutionalized quality management systems, plans, workforce capacities and other key inputs to ensure that modern quality improvement methodologies are applied to managing and providing HIV/AIDS services				
9.1 Existence of a Quality Management (QM) System: Does the host country government support appropriate QM structures to support continuous quality improvement (QI) at national, sub-national and site levels?	○ A. The host country government does not have structures or resources to support site-level continuous quality improvement ◉ B. The host country government: ☑ Has structures with dedicated focal points or leaders (e.g., committee, focal person, working groups, teams) at the national level, sub-national level and in a majority of sites where HIV/AIDS care and services are offered that are supporting site-level continuous quality improvement ☐ Has a budget line item for the QM program ☐ Supports a knowledge management platform (e.g., web site) and/or peer learning opportunities available to site QI participants to gain insights from other sites and interventions	9.1 Score: 0.67	Ministry of Health. (2012). *Quality Management Strategic Plan, 2012.* Mbabane: Swaziland Government.	
9.2 Quality Management/Quality Improvement (QM/QI) Plan: Is there a current (updated within the last 2 years) QM/QI plan? (The plan may be HIV program-specific or include HIV program-specific elements in a national health sector QM/QI plan.)	○ A. There is no HIV/AIDS-related QM/QI strategy ○ B. There is a QM/QI strategy that includes HIV/AIDS, but it is not utilized ○ C. There is a current QM/QI strategy that includes HIV/AIDS program specific elements, and it is partially utilized. ◉ D. There is a current HIV/AIDS program specific QM/QI strategy, and it is fully utilized.	9.2 Score: 2.00	Ministry of Health. (2012). *Quality Management Strategic Plan, 2012.* Mbabane: Swaziland Government. Ministry of Health. (2014). *National Quality Improvement Manual, 2014.* Mbabane: Swaziland Government. Ministry of Health. (2018). *National Health Sector Strategic Plan II (2018-*	
9.3 Performance Data Collection and Use for Improvement: Are HIV program performance measurement data systematically collected and analyzed to identify areas of patient care and services that can be improved through national decision making, policy, or priority setting?	○ A. HIV program performance measurement data are not used to identify areas of patient care and services that can be improved through national decision making, policy, or priority setting. ◉ B. HIV program performance measurement data are used to identify areas of patient care and services that can be improved through national decision making, policy, or priority setting (check all that apply): ☑ The national quality structure has a clinical data collection system from which local performance measurement data on prioritized measures are being collected, aggregated nationally, and analyzed for local and national improvement ☑ There is a system for sharing data at the national, SNU, and local level, with evidence that data is used to identify quality gaps and initiate QI activities ☑ There is documentation of results of QI activities and demonstration of national HIV program improvement through sharing and implementation of best practices across HIV/AIDS sites at all levels	9.3 Score: 2.00	Ministry of Health. (2016). *HIV Quality Assurance, Quality Improvement Framework, 2016.* Mbabane: Swaziland Government. Ministry of Health. (2017). *Swaziland HIV Service Standards, 2017.* Mbabane: Swaziland Government.	QM Program has checklist withing the National Clinical Assessment Tools, which is still supported by partners

9.4 Health worker capacity for QM/QI: Does the host country government ensure that the health workforce has capacities to apply modern quality improvement methods to HIV/AIDS care and services?	○ A. There is no training or recognition offered to build health workforce competency in QI. ◉ B. There is health workforce competency-building in QI, including: ☐ Pre-service institutions incorporate modern quality improvement methods in curricula ☑ National in-service training (IST) curricula integrate quality improvement training for members of the health workforce (including managers) who provide or support HIV/AIDS services	9.4 Score: 1.00	Ministry of Health. (2018). *National Health Sector Strategic Plan II (2018-2023).* Mbabane: Government of the Kingdom of Eswatini.	Accademic Institutions for pre-service curricula. Improving trend. At the moment there is QI cycles, identified need to move towards capacity building quality systems development.
9.5 Existence of QI Implementation: Does the host country government QM system use proven systematic approaches for QI?	The national-level QM structure: ☑ Provides oversight to ensure continuous quality improvement in HIV/AIDS care and services ☐ Regularly convenes meetings that include health services consumers ☑ Routinely reviews national, sub-national and clinical outcome data to identify and prioritize areas for improvement Sub-national QM structures: ☑ Provide coordination and support to ensure continuous quality improvement in HIV/AIDS care and services ☐ Regularly convene meetings that includes health services consumers ☑ Routinely review national, sub-national and clinical outcome data to identify and prioritize areas for improvement Site-level QM structures: ☑ Undertake continuous quality improvement in HIV/AIDS care and services to identify and prioritize areas for improvement	9.5 Score: 1.43	Ministry of Health. (2012). *Quality Management Strategic Plan, 2012.* Mbabane: Swaziland Government.	QMP program is fully functional and plans to do 40 QMP new projects annually throughout the country, with PEPFAR and UNICEF support. QMP needs further capacity bulding in staffing to implement requirements of the strategic plan (volume and scale). RHMTs have quarterly review meetings and cluster meetings. Partner supported. Through MDTs in the facilities.
Quality Management Score:		7.10		

10. Laboratory: The host country ensures adequate funds, policies, and regulations to ensure laboratory capacity (workforce, equipment, reagents, quality) matches the services required for PLHIV.			Data Source	Notes/Comments
10.1 Strategic Plan: Does the host country have a national laboratory strategic plan?	○ A. There is no national laboratory strategic plan ◉ B. National laboratory strategic plan is under development ○ C. National laboratory strategic plan has been developed, but not approved ○ D. National laboratory strategic plan has been developed and approved ○ E. National laboratory plan has been developed, approved, and costed ○ F. National laboratory strategic plan has been developed, approved, costed, and implemented	10.1 Score: 0.27	Draft National Laboratory Strategic Plan Viral Load Testing Standard Operating Procedures, 2016	Due to the time lapse since the initial draft was developed, the draft laboratory strategic plan now needs to be reviewed before the approval processes can move forward.
10.2 Management and Monitoring of Laboratory Services: Does an administrative entity, such as a national office or Bureau/s, exist with specific authority to manage - plan, monitor, purchase, and provide guidance - laboratory services at the regional and district level across all sectors? <u>Select only ONE answer.</u>	○ A. No, there is no entity. ◉ B. Yes, there is an entity, but it has limited authority, insufficient staff, and insufficient budget ○ C. Yes, there is an entity with authority and sufficient staff, but not a sufficient budget. ○ D. Yes, there is an entity with authority and sufficient staff and budget.	10.2 Score: 0.44		National Health Laboratory Services
10.3 Regulations to Monitor Quality of Laboratories and Point of Care Testing (POCT) Sites: To what extent does the host country have regulations in place to monitor the quality of its laboratories and POCT sites? (if exact or approximate percentage known, please note in Comments column)	○ A. Regulations do not exist to monitor minimum quality of laboratories in the country. ○ B. Regulations exist, but are not implemented (0% of laboratories and POCT sites regulated). ○ C. Regulations exist, but are minimally implemented (approx.. 1-9% of laboratories and POCT sites regulated). ○ D. Regulations exist, but are partially implemented (approx. 10-49% of laboratories and POCT sites regulated). ○ E. Regulations exist and are mostly implemented (approx. 50-89% of laboratories and POCT sites regulated). ◉ F. Regulations exist and are fully or almost fully implemented (approx. 90%+ of laboratories and POCT sites regulated).	10.3 Score: 1.33	HIV Testing and Counselling Technical Working Group meeting minutes	It is not the entire laboratory network that is covered for QI. There is a also a gap in community based lab testing (rapid test in community).
10.4 Capacity of Laboratory Workforce: Does the host country have an adequate number of qualified laboratory personnel (human resources [HR]) in the public sector, to sustain key functions to meet the needs of PLHIV for diagnosis, monitoring treatment and viral load suppression?	◉ A. There are not adequate qualified laboratory personnel to achieve sustained epidemic control ○ B. There are adequate qualified laboratory personnel to perform the following key functions: ☐ HIV diagnosis by rapid testing and point-of-care testing ☐ Routine laboratory testing, including chemistry, hematology, microbiology, serology, blood banking, and malaria ☐ Complex laboratory testing, including HIV viral load, CD4 testing, and molecular assays ☐ TB diagnosis	10.4 Score: 0.00	Ministry of Health. (2016). *Human Resources for Health Staffing Norms.* Mbabane: Swaziland Government.	

10.5 Viral Load Infrastructure: Does the host country have sufficient infrastructure to test for viral load to reach sustained epidemic control?	◯ A. There is not sufficient infrastructure to test for viral load. ◉ B. There is sufficient infrastructure to test for viral load, including: ☑ Sufficient HIV viral load instruments ☑ All HIV viral load laboratories have an instrument maintenance program ☐ Sufficient supply chain system is in place to prevent stock out ☐ Adequate specimen transport system and timely return of results	10.5 Score: 0.67	HIV Testing and Counselling Technical Working Group meeting minutes	Donor dependant for reagents, personnel and transport systems
10.6 Domestic Funds for Laboratories: To what extent are laboratory services financed by domestic public or private resources (i.e. excluding external donor funding)? (if exact or approximate percentage known, please note in Comments column)	◯ A. No (0%) laboratory services are financed by domestic resources. ◯ B. Minimal (approx. 1-9%) laboratory services are financed by domestic resources. ◉ C. Some (approx. 10-49%) laboratory services are financed by domestic resources. ◯ D. Most (approx. 50-89%) laboratory services are financed by domestic resources. ◯ E. All or almost all (approx. 90%+) laboratory services are financed by domestic resources.	10.6 Score: 1.67	Global Fund Grant (2018 -2021) Ministry of Finance. (2019). Eswatini National Budget Estimates 2019/20. Mbabane: Government of the Kingdom of Eswatini. PEFAR COP 18 and COP 19 FAST	Most of budget comes from MOH, PEPFAR, GF (GF supports more than 50% lab reagents, GF $6m contribution and GKOS contribution is $3m). Most of the funds come from donors for reagents, and HR)
	Laboratory Score:	4.38		

THIS CONCLUDES THE SET OF QUESTIONS ON DOMAIN B

Domain C. Strategic Financing and Market Openness

What Success Looks Like: Host country government is aware of the financial resources required to effectively and efficiently meet its national HIV/AIDS prevention, care and treatment targets. HCG actively seeks, solicits and or generates the necessary financial resources, ensures sufficient resource commitments, and uses data to strategically allocate funding and maximize investments. Finally, having and effectively implementing policies that ensure leveraging markets (both nonprofit and for profit) where appropriate and enabling their participation and competition will be critical for a sustained HIV response.

Fiscal Context for Health and HIV/AIDS This section will not be assigned a score, but will provide additional contextual information to complement the questions in Domain C.			Data Source	Notes/Comments
1. What percentage of general government expenditures goes to health?	10%		Ministry of Finance. (2019). Eswatini National Budget Estimates 2019/20. Mbabane: Government of the Kingdom of Eswatini.	
2. What is the per capita health expenditure all sources?	$663		World Bank. (2019). World Bank Expenditure database (online). Available from: https://data.worldbank.org/indicator/SH.XPD.CHEX.PP.CD {Accessed 09.2019}.	To evaluate health systems, the World Health Organization (WHO) has recommended that key components - such as financing, service delivery, workforce, governance, and information - be monitored using several key indicators. The data are a subset of the key indicators. Monitoring health systems allows the effectiveness, efficiency, and equity of different health system models to be compared. Health system data also help identify weaknesses and strengths and areas that need investment, such as additional health facilities, better health information systems, or better trained human resources
3. What is the total health care expenditure all sources as a percent of GDP?	9.26%		WHO Eswatini Country Page 2014-2016 (Most recent data is as it was in 2016)	
4. What percent of total health expenditures is financed by external resources?	22%		National Health Accounts.	Maintained the same as in 2017 in the absence of new National Health Accounts data that will only be available in October/November 2019

5. What percent of total health expenditures is financed by out of pocket spending net of household contributions to medical schemes/pre-payment schemes?		Maintained the same as in 2017 in the absence of new data through group discussion at SID workshop	
	10.28%		

11. Domestic Resource Mobilization: The partner country budgets for its HIV/AIDS response and makes adequate resource commitments and expenditures to achieve national HIV/AIDS goals for epidemic control in line with its financial ability.	Data Source	Notes/Comments

11.1 Long-term Financing Strategy for HIV/AIDS: Has the host country government developed a long-term financing strategy for HIV/AIDS?	Check all that apply:
	
	A. Yes, there is a universal, comprehensive financing scheme that integrates social health insurance, public subsidies, and national budget provisions for public health aspects (e.g., disease surveillance). It includes the following (check all that apply):
	
	    ☑ ARVs are covered
	
	    ☑ Non-ARV care and treatment is covered
	
	    ☑ Prevention services are covered
	
	☐ B. Yes, there is an affordable health insurance scheme available (check one of the following).
	
	    ☐ It covers 25% or less of the population.
	
	    ☐ It covers 26 to 50% of the population.
	
	    ☐ It covers 51 to 75% of the population.
	
	    ☐ It covers more than 75% of the population.
	
	☐ C. The affordable health insurance scheme in (B.) includes the following (check all that apply):
	
	    ☐ ARVs are covered.
	
	    ☐ Non-ARV care and treatment services are covered.
	
	    ☐ Prevention services are covered (specify in comments).
	
	    ☐ It includes public subsidies for the affordability of care.
	
	11.1 Score: 0.32
		Ministry of Finance. (2019). Eswatini National Budget Estimates 2019/20. Mbabane: Government of the Kingdom of Eswatini.	No health insurance scheme in country. Draft National Health Insurance (NHI) Bill still under review by the MOH, but there is a NHI policy that informed the Bill and that guides planning

11.2 Domestic Budget: To what extent does the national budget explicitly account for the national HIV/AIDS response?	○ A. There is no explicit funding for HIV/AIDS in the national budget. ◉ B. There is explicit HIV/AIDS funding within the national budget. ☐ The HIV/AIDS budget is program-based across ministries ☑ The budget includes or references indicators of progress toward national HIV/AIDS strategy goals ☑ The budget includes specific HIV/AIDS service delivery targets ☐ National budget reflects all sources of funding for HIV, including from external donors	11.2 Score: 0.71	Ministry of Finance. (2019). Eswatini National Budget Estimates 2019/20. Mbabane: Government of the Kingdom of Eswatini.	HIV/AIDS does not have a program based budget across all Ministries. Most Ministries have funds allocated for employee health and wellness (in general) and not specifically for HIV. The Medium Term Expenditure Framework is relatively new and includes targets/goals of HIV programs. The manner in which external resources are accounted for in budget is also such that capital expenditure is more explicit than recurrent off-budget spending Donor commitments are not known before submitting budget requests to the Ministry of Finance due to the nature of approval of donor funding (which is not always in line with the Governement of the Kingdom of Swaziland fiscal year).
11.3 Annual Goals/Targets: To what extent does the national budget contain HIV/AIDS goals/targets?	○ A. There are no HIV/AIDS goals/targets articulated in the national budget ◉ B. There are HIV/AIDS goals/targets articulated in the national budget. ☑ The goals/targets are measurable. ☑ Budget items/programs are linked to goals/targets. ☑ The goals/targets are routinely monitored during budget execution. ☑ The goals/targets are routinely monitored during the development of the budget.	11.3 Score: 0.95	Ministry of Finance. (2019). Eswatini National Budget Estimates 2019/20. Mbabane: Government of the Kingdom of Eswatini. NERCHA. (2016). *Umgubudla: a fast-track programme towards an AIDS-free Swaziland.* Mbabane: Swaziland Government.	
11.4 HIV/AIDS Budget Execution: For the previous three years, what was the average execution rate for budgeted domestic HIV/AIDS resources (i.e. excluding any donor funds) at both the national and subnational level?	○ A. There is no HIV/AIDS budget, or information is not available. ○ B. 0-49% of budget executed ○ C. 50-69% of budget executed	11.4 Score: 0.95	Ministry of Finance. (2019). *Eswatini National Budget Estimates 2019/20* . Mbabane: Government of the Kingdom of Eswatini. Ministerial Quarterly and Annual Performance Reports to Parliament.	

| (If subnational data does not exist or is not available, answer the question for the national level. Note level covered in the comments column) | ⚪ D. 70-89% of budget executed

🔘 E. 90% or greater of budget executed | | NERCHA. (2016). *Umgubudla: a fast-track programme towards an AIDS-free Swaziland.* Mbabane: Swaziland Government. | |

11.5 Donor Spending: Does the Ministry of Health or Ministry of Finance routinely, and at least on an annual basis, collect all donor spending in the health sector or for HIV/AIDS-specific services?	A. Neither the Ministry of Health nor the Ministry of Finance routinely collects all donor spending in the health sector or for HIV/AIDS-specific services. ● B. The Ministry of Health or Ministry of Finance routinely collects all donor spending for only HIV/AIDS-specific services. C. The Ministry of Health or Ministry of Finance routinely collects all donor spending all the entire health sector, including HIV/AIDS-specific services.	11.5 Score:	0.67	AIDS Coordinating and Management Section. 2018. *External Assistance in Swaziland.* Mbabane: Swaziland Government.	Although data is collected by the AIDS Coordinating anf Management Section (ACMS) in the Ministry of Economic Planning and Development (not Ministry of Health or Ministry of Finance), some funding is not reported by donors and the figures captured by the ACMS are most likely under-reported.
11.6 Domestic Spending: What percent of the annual national HIV response is financed with domestic public and domestic private sector HIV funding? (Domestic funding excludes out-of-pocket, Global Fund grants, and other donor resources)? (if exact or approximate percentage known, please note in Comments column)	A. None (0%) is financed with domestic funding. B. Very liitle (approx. 1-9%) is financed with domestic funding. ● C. Some (approx. 10-49%) is financed with domestic funding. D. Most (approx. 50-89%) is financed with domestic funding. E. All or almost all (approx. 90%+) is financed with domestic funding.	11.6 Score:	1.67	NERCHA. (2015). *National AIDS Spending Assessment.* Mbabane: Swaziland Government. Ministerial Quarterly and Annual Performance Reports to Parliament. Quartely Management Report (from the Ministry of Heealth Financial Controller's Office)	Government has been increasing investment in health over the years. Government contribution is over 40% but under 50% There is a *National AIDS Spending Assessment* currently being conducted and a confirmed reflection should be available in November 2019.
11.7 Health Budget Execution: What was the country's execution rate of its budget for health in the most recent year's budget?	A. There is no budget for health or no money was allocated. B. 0-49% of budget executed. C. 50-69% of budget executed. D. 70-89% of budget executed. ● E. 90% or greater of budget executed.	11.7 Score:	0.95	Quartely Management Report (from the Ministry of Heealth Financial Controller's Office)	
11.8 Data-Driven Reprogramming: Do host country government policies/systems allow for reprograming domestic investments based on new or updated program data during the government funding cycle?	A. There is no system for funding cycle reprogramming. B. There is a policy/system that allows for funding cycle reprogramming, but is seldom used. C. There is a policy/system that allows for funding cycle reprogramming and reprogramming is done as per the policy, but not based on data. ● D. There is a policy/system that allows for funding cycle reprogramming and reprogramming is done as per the policy, and is based on data.	11.8 Score:	0.95	Swaziland Medium Term Expenditure Framework	New Public Finance Management Bill seeks to implement a limit on reprogramming. The data is not fully utilised e.g. the request from the programme is data driven but the releases from the Ministry of Finance is not in line with the request.

	Domestic Resource Mobilization Score:	7.17	

12. Technical and Allocative Efficiencies: The host country analyzes and uses relevant HIV/AIDS epidemiological, health, health workforce, and economic data to inform HIV/AIDS investment decisions. For maximizing impact, data are used to choose which high impact program services and interventions are to be implemented, where resources should be allocated, and what populations demonstrate the highest need and should be targeted (i.e. the right thing at the right place and at the right time). Unit costs are tracked and steps are taken to improve HIV/AIDS outcomes within the available resource envelope (or achieves comparable outcomes with fewer resources).		Data Source	Notes/Comments
12.1 Resource Allocation Process: Does the partner country government utilize a recognized data-driven model to inform the allocation of domestic (i.e. non-donor) public HIV resources? If yes, please note in the comments section when the model was last used and for what purpose (e.g., for Global Fund concept note development) (note: full score achieved by selecting one checkbox)	A. The host country government does not use one of the ⚪ mechanisms listed below to inform the allocation of their resources. B. The host country government does use the following ⦿ mechanisms to inform the allocation of their resources (check all that apply): ☐ Optima ☑ Spectrum (including EPP and Goals) ☑ AIDS Epidemic Model (AEM) ☑ Modes of Transmission (MOT) Model ☑ Other recognized process or model (specify in notes column)	**12.1 Score:** 2.00	NERCHA. (2014). *The Health Sector Response to HIV/AIDS Plan 2014 -2018.* Mbabane: Swaziland Government. NERCHA. (2018). *HIV /AIDS Extended National Strategic Framework - 2018 - 2022.* Mbabane: Government of the Kingdom of Eswatini.	Swaziland HIV Incidence Measurement Survey 2011 Swaziland HIV Incidence Measurement Survey 2016
12.2 Geographic Allocation: Of central government HIV-specific resources (excluding any donor funds) allocated to geographic subunits in the most recent year available, what percentage is being allocated in the highest burden geographic areas (i.e. districts that cumulatively account for 80% of PLHIV)? (if exact or approximate percentage known, please note in Comments column)	⚪ A. Information not available. ⚪ B. No resources (0%) are targeting the highest burden geographic areas. ⚪ C. Minimal resources (approx. 1-9%) are targeting the highest burden geographic areas. ⚪ D. Some resources (approx. 10-49%) are targeting the highest burden geographic areas. ⚪ E. Most resources (approx. 50-89%) are targeting the highest burden geographic areas. ⦿ F. All or almost all resources (approx. 90%+) are targeting the highest burden geographic areas.	**12.2 Score:** 2.00	Group discussion at SID workshop.	It is a generalised epidemic across all four regions and resources are allocated accordingly.

12.3 Information on cost of service provision: Does the host country government have a system that routinely produces information on the costs of providing HIV/AIDS services, and is this information used for budgeting or planning purposes? (note: full score can be achieved without checking all disaggregate boxes).	○ A. The host country DOES NOT have a system that routinely produces information on the costs of providing HIV/AIDS services. ○ B. The host country has a system that routinely produces information on the costs of providing HIV/AIDS services, but this information is not used for budgeting or planning. ◉ C. The host country has a system that routinely produces information on the costs of providing HIV/AIDS services AND this information is used for budeting or planning purposes for the following services (check all that apply): ☑ HIV Testing ☑ Laboratory services ☑ ART ☑ PMTCT ☐ VMMC ☐ OVC Service Package ☑ Key population Interventions ☑ PrEP	12.3 Score: 2.00	Swaziland TB/HIV Global Fund Funding Request, 2017.	This process occurs through technical working group discussions when the Ministry of Health seeks input to inform the annual budget request that is then submitted to the Ministry of Finance. The Ministry of Health uses the unit cost budgeting. It stands to be verified however if unit cost budget is applied for OVCs. VMMC is fully funded by donors.
12.4 Improving Efficiency: Has the partner country achieved any of the following efficiency improvements through actions taken within the last three years?	Check all that apply: ☑ Improved operations or interventions based on the findings of cost-effectiveness or efficiency studies ☐ Reduced overhead costs by streamlining management ☐ Lowered unit costs by reducing fragmentation, i.e. pooled procurement, resource pooling, etc. ☑ Improved procurement competition ☑ Integrated HIV/AIDS into national or subnational insurance schemes (private or public -- need not be within last three years) ☑ Integrated HIV into primary care services with linkages to specialist care (need not be within last three years) ☑ Integrated TB and HIV services, including ART initiation in TB treatment settings and TB screening and treatment in HIV care settings (need not be within last three years)	12.4 Score: 1.56	Group discussion at SID workshop.	Discussion of pooled procurement for ARVs is on-going; Eswatini ARV prices (for select regimen) are amongst the lowest in region due to market intelligence and improved relationships with suppliers. The MOH introduced Dolutegravir which is a significantly cheaper first line ARV. Task-shifting and move from three-month to six-month ARV refills for stable patients; active case finding for TB patients (regional Global Fund grant). The MOH has also introduced 5 differentiated service service delivery models of care that a patient can choose from. Costing tools have been improved substantially (eg costs of VMMC, medicines procurement); MOH PS is

	☑ Integrated HIV and MCH services, including ART initiated and maintained in eligible pregnant and postpartum women and in infants at maternal and child health care settings (need not be within last three years) ☑ Developed and implemented other new and more efficient models of HIV service delivery (e.g., multi-month scripting, testing modalities targeted to the population profile, etc. - specify in comments)			...medicines procurement); MOH is leading efficiency change across six areas: 1-meetings and trainings, 2-supply chain, 3-national referrals, 4-HRH, 5-transport, 6-subvented orgs (mission facilities/NGOs); NERCHA has been working on improving efficiency of its programs (eg re-deploying staff); The MOH provides free ART and TB treatment to its citizens through a single payer system; HIV and TB services are intergrated (national treatment
12.5 ARV Benchmark prices: How do the costs of ARVs (most common first line regimen) purchased in the previous year by the partner government using domestic resources compare to international benchmark prices for that year? (Use the "factory cost" of purchased commodities, excluding transport costs, distribution costs, etc.)	○ A. Partner government did not pay for any ARVs using domestic resources in the previous year. ○ B. Average price paid for ARVs by the partner government in the previous year was more than 50% greater than the international benchmark price for that regimen. ● C. Average price paid for ARVs by the partner government in the previous year was 10-50% greater than the international benchmark price for that regimen. ○ D. Average price paid for ARVs by the partner government in the previous year was 1-10% greater than the international benchmark price for that regimen. ○ E. Average price paid for ARVs by the partner government in the previous year was below or equal to the international benchmark price for that regimen.	12.5 Score: 1.00	Management Sciences for Health/ World Health Organisation Drug Price Index 2016. SADC Information Hub on Drug Prices	Most supplier requested price increases (due to currency fluctuations) from what they had originaly contracted for (2016/17). Prices have not been renegotiated or retendered since then. WIP
	Technical and Allocative Efficiencies Score: 8.56			

13. Market Openness: Host country and donor policies do not negatively distort the market for HIV services by reducing participation and/or competition.			Data Source	Notes/Comments
13.1 Granting exclusive rights for services or training: Do national government or donor (e.g., PEPFAR, GFATM, etc.) policies grant exclusive rights for the government or another local provider to provide HIV services?	Do national government or donor (e.g., PEPFAR, GFATM, etc.) polices: A. Restrict the provision of any one aspect of HIV prevention, testing, counseling, or treatment services to a single entity (i.e., creating a monopoly arrangement for that service)? ☐ Yes ☑ No B. Mandate that only government facilities have the exclusive right to provide any one aspect of HIV prevention, testing, counseling, or treatment services? ☐ Yes ☑ No C. Grant exclusive rights to government institutions for providing health service training? ☐ Yes ☑ No	13.1 Score: 0.36	Group discussion at SID workshop.	
13.2 Requiring license or authorization: Do national government or donor (e.g., PEPFAR, GFATM, etc.) policies establish a license, permit or authorization process as a requirement of operation?	A. Are health facilities required to obtain a government-mandated license or accreditation in order to provide HIV services? [SELECT ONE] ☐ No ☑ Yes, and the enforcement of the accreditation places equal burden on nongovernment facilities (e.g., FBOs, CBOs, or private sector) and government facilities. ☐ Yes, and the enforcement of the accreditation places higher burden on nongovernment facilities (e.g., FBOs, CBOs, or private sector) than on government facilities. B. Are health training institutions required to obtain a government-mandated license or accreditation in order to provide health service training? [SELECT ONE] ☐ No ☑ Yes, and the enforcement of the accreditation places equal burden on nongovernment institutions (e.g., FBOs, CBOs, or private sector) and government institutions. ☐ Yes, and the enforcement of the accreditation places higher burden on nongovernment institutions (e.g., FBOs, CBOs, or private sector) than on government institutions.	13.2 Score: 0.36	Group discussion at SID workshop.	MOH enters into MOUS with service providers. No written guidelines but there is a process of accreditation of facilities led by ENAP

13.3 Limiting provision of certain direct clinical services: Do national government or donor (e.g., PEPFAR, GFATM, etc.) policies limit the ability of licensed, local providers to provide certain direct clinical services?	National government or donor (e.g., PEPFAR, GFATM, etc.) policies limit the ability of licensed, local health service providers to offer the following HIV services: ☐ Prevention ☐ Testing and Counseling ☐ Treatment	13.3 Score: 0.36	Group discussion at SID workshop.	There is no option for N/A, therefore no boxes have been selected since none of them apply.
13.4 Limiting provision of certain clinical support services: Do national government or donor (e.g., PEPFAR, GFATM, etc.) policies limit the ability of licensed local providers to provide certain clinical support services?	A. Do national government or donor (e.g., PEPFAR, GFATM, etc.) policies restrict the ability of licensed, local institutions from providing essential HIV laboratory services? ☐ Yes ☑ No B. Do national government or donor (e.g., PEPFAR, GFATM, etc.) policies create monopoly arrangements in lab testing (i.e., arrangements where effectively only one lab service provider is allowed to conduct a certain essential HIV lab service)? ☐ Yes ☑ No C. National government or donor (e.g., PEPFAR, GFATM, etc.) policies restrict the ability of licensed, local institutions from procuring or distributing the following HIV commodities and supplies [PLEASE SPECIFY TYPE IN NOTES]: ☐ ARVs ☐ Test kits ☐ Laboratory supplies ☐ Other D. Do national government or donor (e.g., PEPFAR, GFATM, etc.) policies create monopoly supply chain arrangements for HIV commodities (i.e., arrangements where effectively only one entity is able to supply a certain essential HIV commodity)? ☐ Yes ☑ No	13.4 Score: 0.36	Group discussion at SID workshop.	For 13.4.C, there is no option for N/A, therefore no boxes have been selected since none of them apply.

			Group discussion at SID workshop.	
13.5 Limits on local manufacturing: Do national government policies limit the ability of the local manufacturing industry to compete with the international market?	A. Do national government policies restrict the production of HIV commodities and supplies by local manufacturers beyond international standards? ○ Yes ● No B. [IF YES] For which of the following is local manufacturing restricted? ☐ ARVs ☐ Test kits ☐ Laboratory supplies ☐ Other	13.5 Score: 0.36		
13.6 Cost of entry/exit: Do national government or donor (e.g., PEPFAR, GFATM, etc.) policies significantly raise the cost of entry or exit by a local provider?	Do local health service facilities face higher start-up or maintenance costs compared to government or donor (e.g., PEPFAR, GFATM, etc.) supported facilities (e.g., lack of access to funds, higher accreditation fees, prohibitive contracting costs, etc.)? ☐ Yes ☑ No	13.6 Score: 0.36	Group discussion at SID workshop.	
13.7 Geographical barriers: Do national government or donor (e.g., PEPFAR, GFATM, etc.) policies create geographical barriers for local providers to supply goods, services or labor, or invest capital?	A. Are certain geographical areas restricted to only government or donor-supported HIV service providers? ○ Yes ● No B. [IF YES] Which of the following are geographically restricted? ☐ Supplying HIV supplies and commodities ☐ Supplying HIV services or health workforce labor ☐ Investing capital (e.g., constructing or renovating facilities)	13.7 Score: 0.36	Group discussion at SID workshop	
13.8 Freedom to advertise: Do national government or donor (e.g., PEPFAR, GFATM, etc.) policies limit the freedom of local organizations to advertise or market HIV goods or services? [Note: "organizations" in this case can refer broadly to clinical service providers and also organizations providing advocacy and promotion services.]	Do national government or donor (e.g., PEPFAR, GFATM, etc.) policies restrict the freedom of nongovernment (e.g., FBOs, CBOs, or private sector) organizations to advertise or promote HIV services either online, over TV and radio, or in public spaces? ☐ Yes ☑ No	13.8 Score: 0.63	Group discussion at SID workshop	

13.9 Quality standards for HIV services: Do national government or donor (e.g., PEPFAR, GFATM, etc.) policies, and the enforcement of those polices, hold all HIV service providers (government-run, local private sector, FBOs, etc.) to the same standards of service quality?	Do national government or donor (e.g., PEPFAR, GFATM, etc.) policies, and the enforcement of those polices, hold all HIV service providers (government-run, local private sector, FBOs, etc.) to the same standards of service quality? [CHECK ALL THAT APPLY] ☑ Yes ☐ No, government service providers are held to higher standards than nongovernment service providers ☐ No, FBOs/CSOs are held to higher standards than government service providers ☐ No, private sector providers are held to higher standards than government service providers	13.9 Score: 0.63	Group discussion at SID workshop
13.10 Quality standards for HIV commodities: Do national government policies set standards for product quality that provide an advantage to some commodity suppliers over others?	Do national government policies set product quality standards on HIV commodities that advantage some suppliers over others? [IF YES, PLEASE EXPLAIN IN NOTES] ☐ Yes ☑ No	13.10 Score: 0.63	Group discussion at SID workshop
13.11 Cost of service provision: Do national government or donor (e.g., PEPFAR, GFATM, etc.) policies significantly raise the cost of service provision for some local providers relative to others (especially by treating incumbents differently from new entrants)?	A. Do government HIV service providers receive greater subsidies or support of overhead expenses (e.g., operational support) as compared to nongovernment (e.g., FBOs, CBOs, or private sector) HIV service providers? ☑ Yes ☐ No B. Does the national government selectively subsidize certain nongovernment (e.g., FBOs, CBOs, or private sector), local HIV service providers over others? ☐ Yes ☑ No C. Do government health training institutions receive greater subsidies or support of overhead expenses as compared to nongovernment (e.g., FBOs, CBOs, or private sector) health training institutions? ☑ Yes ☐ No D. Does the national government selectively subsidize certain nongovernment (e.g., FBOs, CBOs, or private sector), local health service training institutions over others? ☐ Yes ☑ No	13.11 Score: 0.31	Group discussion at SID workshop
13.12 Self-regulation: Do national government or donor (e.g., PEPFAR, GFATM, etc.) policies allow for the creation of a self-regulatory or co-	Do national government or donor (e.g., PEPFAR, GFATM, etc.) policies allow HIV service providers—either groups of individuals or groups of institutions—to create structural barriers (e.g., closed network systems) that may reduce the incentive of other potential providers to provide HIV services?	13.12 Score: 1.25	Group discussion at SID workshop

regulatory regime?	☐ Yes ☑ No			
13.13 Publishing of provider information: Do national government or donor (e.g., PEPFAR, GFATM, etc.) policies require or encourage information on local providers' outputs, prices, sales or costs to be published?	A. National government or donor (e.g., PEPFAR, GFATM, etc.) policies require nongovernment (e.g., FBOs, CBOs, or private sector) health service facilities to publish more data than government facilities on the following [CHECK ALL THAT APPLY]: ☐ HIV service caseload ☐ Procurement of HIV supplies/commodities ☐ Expenses B. National government or donor (e.g., PEPFAR, GFATM, etc.) policies require HIV commodity suppliers to publish data on the following [CHECK ALL THAT APPLY]: ☐ Distribution ☐ Sales/Revenue ☐ Production costs	13.13 Score: 1.25	Group discussion at SID workshop	There is no option for N/A, therefore no boxes have been selected since none of them apply.
13.14 Patient choice: Do national government or donor (e.g., PEPFAR, GFATM, etc.) policies limit the ability of patients to decide which providers or products to use?	Do national government or donor (e.g., PEPFAR, GFATM, etc.) policies restrict the ability of patients or specific groups of patients to choose: A. Which HIV service providers they use? ☐ Yes ☑ No B. Which HIV supplies/commodities they use (e.g., ARVs, PrEP, condoms, needles, etc.)? ☐ Yes ☐ No	13.14 Score: 1.25	Group discussion at SID workshop	
13.15 Patient mobility: Do national government or donor (e.g., PEPFAR, GFATM, etc.) policies reduce mobility of patients between HIV service providers by increasing the explicit or implicit costs of changing providers?	Do national government or donor (e.g., PEPFAR, GFATM, etc.) policies impose costs or other barriers that restrict a patient's ability to transfer from a government HIV service provider to a nongovernment (e.g., FBO, CBO, or private sector) HIV service provider? ☐ Yes ☑ No	13.15 Score: 1.25	Group discussion at SID workshop	

Market Openness Score:	**9.69**

THIS CONCLUDES THE SET OF QUESTIONS ON DOMAIN C

Domain D: Strategic Information

What Success Looks Like: Using local and national systems, the host country government collects, analyzes and makes available timely, comprehensive, and quality HIV/AIDS data (including epidemiological, economic/financial, and performance data) that can be used to inform policy, program and funding decisions.

14. Epidemiological and Health data: Host Country Government routinely collects, analyzes and makes available data on the HIV/AIDS epidemic and its effects on health outcomes. HIV/AIDS epidemiological and health data include size estimates of key populations, PLHIV, HIV incidence, HIV prevalence, viral load and AIDS-related mortality rates.			**Data Source**	**Notes/Comments**
14.1 Management and Monitoring of Surveillance Activities: Does an administrative entity, such as a national office or Bureau/s, exist with specific authority to manage - plan, monitor, and provide guidance - for HIV/AIDS epidemiological surveys and/or surveillance activities including: data collection, analysis and interpretation; data storage and retrieval; and quality assurance across all sectors. <u>Select only ONE answer.</u>	○ No, there is no entity. ○ Yes, there is an entity, but it has limited authority, insufficient staff, and insufficient budget ◉ Yes, there is an entity with authority and sufficient staff, but not a sufficient budget. ○ Yes, there is an entity with authority and sufficient staff and budget.	14.1 Score: 0.56	Group discussion at SID workshop	There is a National Health Research Unit within the MOH Strategic Information Department. The Central Statictics Office in the Ministry of Economic Planning and development is responsible for national surveys and surveillance.
14.2 Who Leads General Population Surveys & Surveillance: To what extent does the host country government lead and manage planning and implementation of the HIV/AIDS portfolio of general population epidemiological surveys and surveillance activities (population-based household surveys, case reporting/clinical surveillance, drug resistance surveillance, etc.)?	○ A. No HIV/AIDS general population surveys or surveillance activities have been conducted within the past 5 years ○ B. Surveys & surveillance activities are primarily planned and implemented by external agencies, organizations or institutions ◉ C. Surveys & surveillance activities are planned and implemented by the host country government/other domestic institution, with substantial technical assistance from external agencies ○ D. Surveys & surveillance activities are planned and implemented by the host country government/other domestic institution, with some technical assistance from external agencies ○ E. Surveys & surveillance activities are planned and implemented by the host country government/other domestic institution, with minimal or no technical assistance from external agencies	14.2 Score: 0.42	Swaziland Central Statistics Office. (2007). *Swaziland Demographic Health Survey*. Mbabane: Government of the Kingdom of Swaziland. Ministry of Health. (2019). *Swaziland HIV Incidents Measurement Survey (SHIMS 2) Final Report*. Mbabane: Government of the Kingdom of Eswatini. Swaziland Central Statistics Office. (2015). *Mulitiple Indicator Cluster Survey (MICS) 2014, Key Findings*. Mbabane: Government of the Kingdom of Swaziland.	Examples of surveillance provided in Sources column
14.3 Who Leads Key Population Surveys & Surveillance: To what extent does the host country government lead & manage planning and implementation of the HIV/AIDS portfolio of key population epidemiological surveys and/or behavioral surveillance activities (IBBS, size estimation studies, etc.)?	○ A. No HIV/AIDS key population surveys or surveillance activities have been conducted within the past 5 years ○ B. Surveys & surveillance activities are primarily planned and implemented by external agencies, organizations or institutions ◉ C. Surveys & surveillance activities are planned and implemented by the host country government/other domestic institution, with substantial technical assistance from external agencies ○ D. Surveys & surveillance activities are planned and implemented by the host country government/other domestic institution, with some technical assistance from external agencies ○ E. Surveys & surveillance activities are planned and implemented by the host country government/other domestic institution, without minimal or no technical assistance from external agencies	14.3 Score: 0.42	Minsitry of Health. (2013). *Swaziland Behavioral Surveillance Survey, 2013*. Mbabane: Government of the Kingdom of Swaziland. Key Population Studies, 2015.	There is a planned IBBS that is still at protocol-development stages. The team responsible is composed of Central Statistics Office, MOH, PEPFAR and Civil Society

14.4 Who Finances General Population Surveys & Surveillance: To what extent does the host country government fund the HIV/AIDS portfolio of general population epidemiological surveys and/or surveillance activities (e.g., protocol development, printing of paper-based tools, salaries and transportation for data collection, etc.)? (if exact or approximate percentage known, please note in Comments column)	○ A. No HIV/AIDS general population surveys or surveillance activities have been conducted within the past 5 years ○ B. No financing (0%) is provided by the host country government ○ C. Minimal financing (approx. 1-9%) is provided by the host country government ◉ D. Some financing (approx. 10-49%) is provided by the host country government ○ E. Most financing (approx. 50-89%) is provided by the host country government ○ F. All or almost all financing (90% +) is provided by the host country government	14.4 Score: 0.83	Swaziland Central Statistics Office. (2007). *Swaziland Demographic Health Survey.* Mbabane: Government of the Kingdom of Swaziland. Ministry of Health. (2017). *Swaziland HIV Incidents Measurement Survey (SHIMS) 2.* Swaziland Central Statistics Office. (2015). *Mulitiple Indicator Cluster Survey (MICS) 2014, Key Findings.* Mbabane: Swaziland Government.	The average is 20%
14.5 Who Finances Key Populations Surveys & Surveillance: To what extent does the host country government fund the HIV/AIDS portfolio of key population epidemiological surveys and/or behavioral surveillance activities (e.g., protocol development, printing of paper-based tools, salaries and transportation for data collection, etc.)? (if exact or approximate percentage known, please note in Comments column)	○ A. No HIV/AIDS key population surveys or surveillance activities have been conducted within the past 5 years ○ B. No financing (0%) is provided by the host country government ◉ C. Minimal financing (approx. 1-9%) is provided by the host country government ○ D. Some financing (approx. 10-49%) is provided by the host country government ○ E. Most financing (approx. 50-89%) is provided by the host country government ○ F. All or almost all financing (approx. 90% +) is provided by the host country government	14.5 Score: 0.42	PEPFAR COP 19 UN Agency Annual Plans Global Fund FY19/20 Grant	PEPFAR [COP planning, and funding through the Key Populations Investment Fund] UN [Annual plans] Government leads on surveillance

| 14.6 Comprehensiveness of Prevalence and Incidence Data: To what extent does the host country government collect HIV prevalence and incidence data according to relevant disaggregations, populations and geographic units? | Check ALL boxes that apply below. (A.) refers to prevalence data. (B.) refers to incidence data:

☑A. The host country government collects at least every 5 years HIV prevalence data disaggregated by:

    ☑ Age (at coarse disaggregates)

    ☑ Age (at fine disaggregates)

    ☑ Sex

    ☐ Key populations (FSW, PWID, MSM, TG, prisoners)

    ☑ Priority populations (AGYW, clients of sex workers, military, mobile populations, non-injecting drug users)

    ☑ Sub-national units

☑B. The host country government collects at least every 5 years HIV incidence disaggregated by:

    ☑ Age (at coarse disaggregates)

    ☑ Age (at fine disaggregates)

    ☑ Sex

    ☐ Key populations (FSW, PWID, MSM, TG, prisoners)

    ☑ Priority populations (AGYW, clients of sex workers, military, mobile populations, non-injecting drug users)

    ☑ Sub-national units | 14.6 Score: 0.83 | Ministry of Health. (2019). *Swaziland HIV Incidents Measurement Survey (SHIMS 2) Final Report* . Mbabane: Government of the Kingdom of Eswatini.

Minsitry of Health. (2013). *Swaziland Behavioral Surveillance Survey, 2013.* Mbabane: Government of the Kingdom of Swaziland. | |

			Viral Load Testing Standard Operating Procedures, 2016	There is routine VL testing with about 45% of PLHIV coverage.
14.7 Comprehensiveness of Viral Load Coverage Data: To what extent does the host country government collect/report viral load coverage data according to relevant disaggregations and across all PLHIV? (if exact or approximate percentage is known, please note in Comments column)	○ A. The host country government does not collect/report viral load coverage data or does not conduct viral load monitoring ◉ B. The host country government collects/reports viral load coverage data (answer both subsections below): Government collects/report viral load coverage data according to the following disaggregates (check ALL that apply): ☑ Age ☑ Sex ☐ Key populations (FSW, PWID, MSM, TG, prisoners) ☑ Priority populations (AGYW, clients of sex workers, military, mobile populations, non-injecting drug users) For what proportion of PLHIV does the government collect/report viral load coverage data (select one of the following): ☐ Less than 25% ☐ 25-50% ☐ 50-75% ☑ More than 75%	14.7 Score: 0.73		
14.8 Comprehensiveness of Key and Priority Populations Data: To what extent does the host country government conduct integrated behavioral surveillance (either as a standalone IBBS <u>or</u> integrated into other routine surveillance such as HSS+) and size estimation studies for key and priority populations? (Note: Full score possible without selecting all disaggregates.) Please note most recent survey dates in comments section.	○ A. The host country government does not conduct IBBS or size estimation studies for key populations (FSW, PWID, MSM, TG, prisoners) or priority populations (Military, etc.). ◉ B. The host country government conducts (answer both subsections below): IBBS (or other integrated behavioral surveillance) for (check ALL that apply): ☑ Female sex workers (FSW) ☑ Men who have sex with men (MSM) ☐ Transgender (TG) ☐ People who inject drugs (PWID) ☐ Prisoners ☐ Priority populations (AGYW, clients of sex workers, military, mobile populations, non-injecting drug users) Size estimation studies for (check ALL that apply): ☑ Female sex workers (FSW) ☑ Men who have sex with men (MSM) ☐ Transgender (TG) ☐ People who inject drugs (PWID) ☐ Prisoners ☐ Priority populations (AGYW, clients of sex workers, miliitary, mobile populations, non-injecting drug users)	14.8 Score: 0.42	Minsitry of Health. (2013). *Swaziland Behavioral Surveillance Survey, 2013* . Mbabane: Government of the Kingdom of Swaziland.	Military data is not shared externally

14.9 Timeliness of Epi and Surveillance Data: To what extent is a timeline for the collection of epidemiologic and surveillance data outlined in a national HIV/AIDS surveillance and survey strategy (or a national surveillance and survey strategy with specifics for HIV)?	○A. There is no national HIV surveillance and surveys strategy, or a national surveillance and surveys strategy exists but does not include specifics for HIV surveillance and surveys ◉B. A national HIV surveillance and surveys strategy exists (or a national surveillance and surveys strategy exists and includes specifics for HIV), but the strategy does not outline a timeline for data collection for all relevant population groups ○C. A national HIV surveillance and surveys strategy exists (or a national surveillance and surveys strategy exists and includes specifics for HIV), and outlines a timeline for data collection for all relevant population groups	14.9 Score: 0.42	Swaziland Central Statistics Office. (2007). *Swaziland Demographic Health Survey.* Mbabane: Swaziland Government. Ministry of Health. (2019). *Swaziland HIV Incidents Measurement Survey (SHIMS 2) Final Report.* Mbabane: Government of the Kingdom of Eswatini. Swaziland Central Statistics Office.	There is a strategy for surveys. The strategy for surveillance is still being developed.
14.10 Quality of Surveillance and Survey Data: To what extent does the host country government define and implement policies, procedures and governance structures that assure quality of HIV/AIDS surveillance and survey data?	○A. No governance structures, procedures or policies designed to assure surveys & surveillance data quality exist/could be documented. ◉B. The following structures, procedures or policies exist to assure quality of surveys & surveillance data (check all that apply): ☑ A national surveillance unit or other entity is responsible for assuring the quality of surveys & surveillance data ☐ A national, approved surveys & surveillance strategy is in place, which outlines standards, policies and procedures for data quality assurance ☐ Standard national procedures & protocols exist for reviewing surveys & surveillance data for quality and sharing feedback with appropriate staff responsible for data collection ☑ An in-country internal review board (IRB) exists and reviews all protocols.	14.10 Score: 0.42	Swaziland Central Statistics Office. (2007). *Swaziland Demographic Health Survey.* Mbabane: Swaziland Government. Ministry of Health. (2017). *Swaziland HIV Incidents Measurement Survey (SHIMS) 2.* Swaziland Central Statistics Office. (2015). *Mulitiple Indicator Cluster Survey (MICS) 2014, Key Findings.* Mbabane: Swaziland Government. UNICEF. (2007). *Violence Against Children Survey (VACS) 2007.*	Responsible government unit should be Epidemiology and Disease Control Unit (EDCU)
Epidemiological and Health Data Score:		5.45		

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		Data Source	Notes/Comments
15. Financial/Expenditure data: Government collects, tracks and analyzes and makes available financial data related to HIV/AIDS, including the financing and spending on HIV/AIDS expenditures from all financing sources, costing, and economic evaluation, efficiency and market demand analyses for cost-effectiveness.			
15.1 Who Leads Collection of Expenditure Data: To what extent does the host country government lead & manage a national expenditure tracking system to collect HIV/AIDS expenditure data?	○A. No tracking of public HIV/AIDS expenditures has occurred within the past 5 years ○B. Collection of public HIV/AIDS expenditure data occurs using a standard tool (i.e. NASA, NHA), but planning and implementation is primarily led by external agencies, organizations, or institutions ○C. Collection of public HIV/AIDS expenditure data occurs using a standard tool (i.e. NASA, NHA) and planning and implementation is led by the host country government, with substantial external technical assistance ◉D. Collection of public HIV/AIDS expenditure data occurs using a standard tool (i.e. NASA, NHA) and planning and implementation is led by the host country government, with some external technical assistance ○E. Collection of public HIV/AIDS expenditure data occurs using a standard tool (i.e. NASA, NHA), and planning and implementation is led by the host country government, with minimal or no external technical assistance	15.1 Score: 2.50	NERCHA. (2015). *National AIDS Spending Assessment, 2014* . Mbabane: Swaziland Government.	NERCHA supported by UNAIDS leads NASA data collection. The Ministry of Health and Ministry of Economic Planning and development lead NHA data collection.
15.2 Comprehensiveness of Expenditure Data: To what extent does the host country government collect HIV/AIDS public sector expenditures according to funding source, expenditure type, program and geographic area?	○A. No HIV/AIDS expenditure tracking has occurred within the past 5 years ◉B. HIV/AIDS expenditure data are collected (check all that apply): ☑ By source of financing, such as domestic public, domestic private, out-of-pocket, Global Fund, PEPFAR, others ☑ By expenditures per program area, such as prevention, care, treatment, health systems strengthening ☑ By type of expenditure, such as training, overhead, vehicles, supplies, commodities/reagents, personnel ☑ Sub-nationally	15.2 Score: 3.33	NERCHA. (2015). *National AIDS Spending Assessment, 2014.* Mbabane: Swaziland Government.	
15.3 Timeliness of Expenditure Data: To what extent are expenditure data collected in a timely way to inform program planning and budgeting decisions?	○A. No HIV/AIDS expenditure data are collected ◉B. HIV/AIDS expenditure data are collected irregularly, and more than 3 years ago ○C. HIV/AIDS expenditure data were collected at least once in the past 3 years ○D. HIV/AIDS expenditure data are collected annually but represent more than one year of expenditures ○E. HIV/AIDS expenditure data are collected annually and represent only one year of expenditures	15.3 Score: 0.83	NERCHA. (2015). *National AIDS Spending Assessment, 2014.* Mbabane: Swaziland Government.	
Financial/Expenditure Data Score:	**6.67**		

			Data Source	Notes/Comments
16. Performance data: Government routinely collects, reports, analyzes and makes available HIV/AIDS service delivery data. Service delivery data are analyzed to track program performance, i.e. coverage of key interventions, results against targets, and the continuum of care and treatment cascade, including linkage to care, adherence and retention, and viral load testing coverage and suppression.				
16.1 Who Leads Collection and Reporting of Service Delivery Data: To what extent is the routine collection and reporting of HIV/AIDS service delivery data institutionalized in an information system and managed and operated by the host country government at the national level?	○ A. No system exists for routine collection of HIV/AIDS service delivery data ○ B. Multiple unharmonized or parallel information systems exist that are managed and operated separately by various government entities, local institutions and/or external agencies/institutions ○ C. One information system, or a harmonized set of complementary information systems, exists and is primarily managed and operated by an external agency/institution ◉ D. One information system, or a harmonized set of complementary information systems, exists and is managed and operated by the host country government with technical assistance from external agency/institution ○ E. One information system, or a harmonized set of complementary information systems, exists and is managed and operated by the host country government	16.1 Score: 1.00	Ministry of Health. (2018). *Health Information Management System Annual Report.* Mbabane: Swaziland Government. Eswatini National AIDS Program Annual Reports	HMIS , National AIDS Program Reports
16.2 Who Finances Collection of Service Delivery Data: To what extent does the host country government finance the routine collection of HIV/AIDS service delivery data (e.g., salaries of data clerks/M&E staff, printing & distribution of paper-based tools, electronic reporting system maintenance, data quality supervision, etc.)? (if exact or approximate percentage known, please note in Comments column)	○ A. No routine collection of HIV/AIDS service delivery data exists ○ B. No financing (0%) is provided by the host country government ○ C. Minimal financing (approx. 1-9%) is provided by the host country government ◉ D. Some financing (approx. 10-49%) is provided by the host country government ○ E. Most financing (approx. 50-89%) is provided by the host country government ○ F. All or almost all financing (90% +) is provided by the host country government	16.2 Score: 1.67	Ministry of Health. (2018). *Health Information Management System Annual Report.* Mbabane: Swaziland Government. Eswatini National AIDS Program Annual Reports	

			Eswatini National AIDS Program Annual Reports	National HIV Semi-Annual Review (NaHSAR)

16.3 Comprehensiveness of Service Delivery Data: To what extent does the host country government collect HIV/AIDS service delivery data by population, program and geographic area? (Note: Full score possible without selecting all disaggregates.)	Check ALL boxes that apply below:
	
	☑ A. The host country government routinely collects & reports service delivery data for:
	
	  ☑ HIV Testing
	
	  ☑ PMTCT
	
	  ☑ Adult Care and Support
	
	  ☑ Adult Treatment
	
	  ☑ Pediatric Care and Support
	
	  ☐ Orphans and Vulnerable Children
	
	  ☑ Voluntary Medical Male Circumcision
	
	  ☑ HIV Prevention
	
	  ☑ AIDS-related mortality
	
	☑ B. Service delivery data are being collected:
	
	  ☑ By key population (FSW, PWID, MSM, TG, prisoners)
	
	  ☑ By priority population (AGYW, clients of sex workers, military, mobile populations, non-injecting drug users)
	
	  ☑ By age & sex
	
	  ☑ From all facility sites (public, private, faith-based, etc.)
	
	  ☑ From all community sites (public, private, faith-based, etc.)
		16.3 Score: 1.33		Regional HIV Semi-Annual Review (ReHSAR)
				Routine data quality management (RDQM)

16.4 Timeliness of Service Delivery Data: To what extent are HIV/AIDS service delivery data collected in a timely way to inform analysis of program performance?	○ A. The host country government does not routinely collect/report HIV/AIDS service delivery data ○ B. The host country government collects & reports service delivery data annually ○ C. The host country government collects & reports service delivery data semi-annually ◉ D. The host country government collects & reports service delivery data at least quarterly	16.4 Score: 1.33	Eswatini National AIDS Program Annual Reports National HIV Semi Annual Review (NaRSAR) Reports	

16.5 Analysis of Service Delivery Data: To what extent does the host country government routinely analyze service delivery data to measure program performance (i.e., continuum of care cascade, coverage, retention, viral suppression, AIDS-related mortality rates)?	○ A. The host country government does not routinely analyze service delivery data to measure program performance ◉ B. Service delivery data are being analyzed to measure program performance in the following ways (check all that apply): ☐ Continuum of care cascade for each identified priority population (AGYW, clients of sex workers, military, mobile populations, non-injecting drug users), including HIV testing, linkage to care, treatment, adherence and retention, and viral load ☐ Continuum of care cascade for each relevant key population (FSW, PWID, MSM, TG, prisoners), including HIV testing, linkage to care, treatment, adherence and retention, and viral load ☑ Results against targets ☑ Coverage or recent achievements of key treatment & prevention services (ART, PMTCT, VMMC, etc.) ☑ Site-specific yield for HIV testing (HTC and PMTCT) ☑ AIDS-related mortality rates ☑ Variations in performance by sub-national unit ☑ Creation of maps to facilitate geographic analysis	16.5 Score: 1.00	Eswatini National AIDS Program Annual Reports National HIV Semi Annual Review (NaRSAR) Reports
16.6 Quality of Service Delivery Data: To what extent does the host country government define and implement policies, procedures and governance structures that assure quality of HIV/AIDS service delivery data?	○ A. No governance structures, procedures or policies designed to assure service delivery data quality exist/could be documented. ◉ B. The following structures, procedures or policies exist to assure quality of service delivery data (check all that apply): ☑ A national, approved data quality strategy is in place, which outlines standards, policies and procedures for HIV/AIDS data quality assurance ☑ A national protocol exists for routine (at least annual) Data Quality Audits/Assessments of key HIV program indicators, which are led and implemented by the host country government ☑ Standard national procedures & protocols exist for routine data quality checks at the point of data entry ☑ Data quality reports are published and shared with relevant ministries/government entities & partner organizations ☑ The host country government leads routine (at least annual) data review meetings at national & subnational levels to review data quality issues and outline improvement plans	16.6 Score: 1.33	Ministry of Health. (2018). *National Health Sector Strategic Plan II (2018-2023).* Mbabane: Government of the Kingdom of Eswatini. Ministry of Health. (2012). *Quality Management Strategic Plan, 2012.* Mbabane: Swaziland Government.
Performance Data Score:		7.67	

17. Data for Decision-Making Ecosystem: Host country government demonstrates commitment and capacity to advance the use of data in informing government decisions and cultivating an informed, engaged civil society.		**Data Source**	**Notes/Comments**
17.1 Civil Registration and Vital Statistics (CRVS): Is there a CRVS system in place that records births and deaths and is fully operational across the country? Is CRVS data made publically available in a timely manner?	○ A. No, there is not a CRVS system. ◉ B. Yes, there is a CRVS system that... (check all that apply): ☑ records births ☑ records deaths ☑ is fully operational across the country [IF YES] How often is CRVS data updated <u>and</u> made publically available (select only one)? ☐ A. The host country government does not make CRVS data available to the general public, or they are made available more than one year after the date of collection. ☐ B. The host country government makes CRVS data available to the general public within 6-12 months. ☐ C. The host country government makes CRVS data available to the general public within 6 months.	17.1 Score:　　1.00 Group discussion at SID workshop.	
17.2 Unique Identification: Is there a national Unique Identification system that is used to track delivery of HIV/AIDS and other health services? Do national polices protect privacy of Unique ID information?	Is there a national Unique Identification system that is used to track delivery of HIV/AIDS and other health services? ○ A. No, there is no national Unique Identification system used to track delivery of services for HIV/AIDS or other health services. ○ B. Yes, there is a national Unique Identification system used to track delivery of services for HIV/AIDS, but not other health services. ◉ C. Yes, there is a national Unique Identification system used to track delivery of services for HIV/AIDS and other health services. [IF YES to B or C] Are there national policies, procedures and systems in place that protect the security and privacy of Unique ID information? ☐ Yes ☐ No	17.2 Score:　　1.33 Group discussion at SID workshop.	

17.3 Interoperability of National Administrative Data: To fully utilize all administrative data, are HIV/AIDS data and other relevant administrative data sources integrated in a data warehouse where they are joined for analysis across diseases and conditions?	○ A. No, there is no central integration of HIV/AIDS data with other relevant administrative data.
	
	◉ B. Yes, national HIV/AIDS administrative data is integrated and joined with administrative data on the following:
	
	  ☑ a. TB
	
	  ☑ b. Maternal and Child Health
	
	  ☑ c. Other Health Data (e.g., other communicable and non-communicable diseases)
	
	  ☐ d. Education
	
	  ☑ e. Health Systems Information (e.g., health workforce data)
	
	  ☐ f. Poverty and Employment
	
	  ☑ g. Other (specify in notes)
		17.3 Score: 1.67	Group discussion at SID workshop.

17.4 Census Data: Does the host country government regularly (at least every 10 years) collect and publically disseminate census data?	○ A. No, the host country government does not collect census data at least every 10 years
	
	○ B. Yes, the host country government regularly collects census data, but does not make it available to the general public.
	
	◉ C. Yes, the host country government regularly collects census data and makes it available to the general public.
	
	[IF YES to C only] Data that are made available to the public are disaggregated by:
	  ☑ a. Age
	
	  ☑ b. Sex
	
	  ☑ c. District
		17.4 Score: 2.00	Group discussion at SID workshop.

17.5 Subnational Administrative Units: Are the boundaries of subnational administrative units made public (including district and site level)?	○ A. No, the country's subnational administrative boundaries are not made public. ○ B. Yes, the host country government publicizes district-level boundaries, but not site-level geocodes. ◉ C. Yes, the host country government publicizes district-level boundaries and site-level geocodes.	17.5 Score: 2.00	Group discussion at SID workshop.
Data for Decision-Making Ecosystem Score: 8.00			

THIS CONCLUDES THE SET OF QUESTIONS ON DOMAIN D